
The fifth Conference of the Parties (COP5) to the Stockholm Convention on Persistent Organic Pollutants (POPs) begins today at the Geneva International Conference Centre, in Geneva, Switzerland.

COP5 will consider numerous issues including: adding endosulfan to Annex A of the Convention, as recommended by the Persistent Organic Pollutant Review Committee (POPRC); a report prepared by the Secretariat in cooperation with the World Health Organization on the reporting and reviewing requirements for the use of lindane as a human health pharmaceutical for the control of head lice and scabies; the endorsement of nominated Stockholm Convention regional centres; and the terms of reference for the assessment of funding needs for parties that are developing countries or countries with economies in transition to implement the Convention over the period 2015–2019.

A BRIEF HISTORY OF THE STOCKHOLM CONVENTION

During the 1960s and 1970s, the use of chemicals and pesticides in industry and agriculture increased dramatically. In particular, a category of chemicals known as POPs attracted international attention due to a growing body of scientific evidence indicating that exposure to very low doses of POPs can lead to cancer, damage to the central and peripheral nervous systems, diseases of the immune system, reproductive disorders and interference with normal infant and child development.

POPs are chemical substances that persist in the environment, bioaccumulate in living organisms, and can have adverse effects on human health and the environment. With further evidence of the long-range transport of these substances to regions where they have never been used or produced, and the consequent threats they pose to the global environment, the international community called for urgent global action to reduce and eliminate their release into the environment.

In March 1995, the United Nations Environment Programme’s Governing Council (UNEP GC) adopted Decision 18/32 inviting the Inter-Organization Programme on the Sound Management of Chemicals, the Intergovernmental Forum on Chemical Safety (IFCS) and the International Programme on Chemical Safety to initiate an assessment process regarding a list of 12 POPs. The IFCS Ad Hoc Working Group on POPs concluded that sufficient information existed to demonstrate the need for international action to minimize risks from the 12 POPs, including a global legally-binding instrument. The meeting forwarded a recommendation to the UNEP GC and the World Health Assembly (WHA) that immediate international action be taken on these substances.

In February 1997, the UNEP GC adopted Decision 19/13C endorsing the conclusions and recommendations of the IFCS. The GC requested that UNEP, together with relevant international organizations, convene an intergovernmental negotiating committee (INC) with a mandate to develop, by the end of 2000, an international legally-binding instrument for implementing international action, beginning with the list of 12 POPs. In May 1997, the WHA endorsed the recommendations of the IFCS and requested that the World Health Organization participate actively in the negotiations.


The Stockholm Convention as adopted in 2001 calls for international action on 12 POPs grouped into three categories: 1) pesticides: aldrin, chlordane, DDT, dieldrin, endrin, heptachlor, mirex and toxaphene; 2) industrial chemicals: hexachlorobenzene (HCB) and polychlorinated biphenyls (PCBs); and 3) unintentionally produced POPs: dioxins and furans. Governments are to promote best available techniques (BAT) and best environmental practices (BEP) for replacing existing POPs while preventing the development of new POPs. Provision was also made for a procedure to identify additional POPs and the criteria to be considered in doing so.

Key elements of the treaty include: the requirement that developed countries provide new and additional financial resources; measures to eliminate production and use of intentionally produced POPs, eliminate unintentionally produced POPs, where feasible, and manage and dispose of POPs wastes in an environmentally sound manner; and substitution involving the use of safer chemicals and processes to prevent unintentionally produced POPs. Precaution is exercised...
throughout the Stockholm Convention, with specific references in the preamble, the objective and the provision on identifying new POPs.

The Stockholm Convention entered into force on 17 May 2004, and currently has 173 parties, including the European Community.

**COP1:** The first Conference of the Parties (COP1) to the Stockholm Convention was held from 2-6 May 2005, in Punta del Este, Uruguay. To set the Convention’s implementation in motion, delegates adopted a broad range of decisions, which had been elaborated during two meetings of the INC in June 2002 and July 2003. These decisions related to: providing for the evaluation of the continued need for DDT use for disease vector control; establishing a review process for entries in the register of specific exemptions; adopting guidance for the financial mechanism; establishing a schedule for reporting; establishing arrangements for monitoring data on POPs; adopting rules of procedure and financial rules; adopting the budget for the Secretariat; and establishing the Persistent Organic Pollutant Review Committee (POPRC).

The POPRC was established to regularly consider additional candidates for the annexes to the Convention. The Committee’s membership comprises 31 experts nominated by parties from the five regional groups. It reviews chemicals nominated by parties in three stages. The Committee first determines whether the substance fulfills POP screening criteria, as defined by the Convention in terms of its persistence, bioaccumulation, potential for long-range environmental transport (LRET), and toxicity. If a substance is deemed to fulfill these requirements, the Committee then drafts a risk profile to evaluate whether the substance is likely, as a result of its LRET, to lead to significant adverse human health and/or environmental effects and global action is therefore warranted. Finally, if the POPRC finds that global action is warranted, it develops a risk management evaluation reflecting socioeconomic considerations associated with possible control measures and, based on this, the POPRC decides to recommend that the COP list the substance under one or more of the annexes to the Convention.

**COP2:** This meeting took place from 1-5 May 2006, in Geneva, Switzerland. COP2 considered several reports on activities within the Convention’s mandate, and adopted 18 decisions on, **inter alia:** DDT, exemptions, financial resources and mechanisms, information exchange, BAT/BEP, identification and quantification of releases, measures to reduce or eliminate releases from wastes, implementation plans, listing chemicals in Annexes A, B and/or C of the Convention, reporting, technical assistance, synergies, effectiveness evaluation, and non-compliance.

**COP3:** Stockholm Convention COP3 was held from 30 April - 4 May 2007, in Dakar, Senegal. COP3 considered several reports on activities within the Convention’s mandate and adopted 22 decisions on, **inter alia:** a revised process for the review of entries in the register of specific exemptions; DDT; measures to reduce or eliminate releases from wastes; guidelines on the standardized toolkit for identification and quantification of releases; guidelines on BAT and draft guidance on BEP; regional centers; listing chemicals in Annexes A, B and/or C of the Convention; reporting; effectiveness evaluation; national implementation plans; budget; financial resources; technical assistance; synergies; and non-compliance.

**INTERSESSSIONAL HIGHLIGHTS**

**POPRC5:** POPRC5 met from 12-16 October 2009, and addressed several operational issues, including: work programmes on new POPs; substitutions and alternatives; toxicological interactions; and activities undertaken for effective participation in the POPRC’s work. POPRC5 agreed that hexabromocyclododecane (HBCD) met the Annex D criteria for listing and that a draft risk profile should be prepared. Draft risk profiles for endosulfan and short-chained chlorinated paraffins (SCCPs) were considered. SCCPs were kept in the Annex E phase for further consideration at POPRC6 and the Committee, through a vote, decided to move endosulfan to the Annex F phase, while inviting parties to submit additional information on adverse effects on human health.

**Ex-COP:** The simultaneous extraordinary Conferences of the Parties to the Basel, Rotterdam and Stockholm Conventions were held from 22-24 February 2010 in Bali, Indonesia. Delegates adopted an omnibus synergies decision on joint services, joint activities, synchronization of the budget cycles, joint audits, joint managerial functions, and review arrangements.

**FIFTH GEF REPLENISHMENT:** The sixth meeting of donors for the Fifth GEF Replenishment (GEFS), was held in Paris, France, on 12 May 2010, and agreed to a replenishment of US$4.25 billion. A total of US$420 million was allocated to chemicals projects from July 2010 – June 2014, including: US$375 million for POPs; US$25 million for ozone depleting substances; and US$20 million for sound chemicals management including pilot projects on mercury. Under GEF-5 disposal of approximately 10,000 tonnes of obsolete pesticides, as well as 23,000 tonnes of PCB-related wastes may, is envisaged. Funding is also expected to be allocated to the reduction of unintentionally produced POPs, and in support pilot activities for new POPs.

**POPRC6:** This meeting took place from 11-15 October 2010 in Geneva, Switzerland. POPRC6 adopted 12 decisions, including on: support for effective participation in POPRC’s work; the work programmes on new POPs; and intersessional work on toxic interactions. POPRC adopted the risk profile for HBCD and established an intersessional working group to prepare a draft risk management evaluation on HBCD. POPRC also agreed, by a vote, to adopt the risk management evaluation for endosulfan and recommend to COP listing endosulfan in Annex A, with exemptions. The Committee considered a revised draft risk profile on SCCPs, agreeing to convene an intersessional working group to revise the draft risk profile and to consider SCCPs again during POPRC7.
POPS COPS HIGHLIGHTS:
MONDAY, 25 APRIL 2011

The fifth Conference of the Parties (COP5) to the Stockholm Convention on Persistent Organic Pollutants (POPs) opened in Geneva, Switzerland on Monday, 25 April 2011.

In the morning, delegates heard opening statements and addressed organizational matters. During the afternoon delegates addressed national implementation plans (NIPs) and technical assistance.

OPENING PLENARY:
Acting COP4 President Gholamhossein Dehghani (Iran) welcomed delegates and opened COP5. He underscored the achievements made in the 10 years since the adoption of the Stockholm Convention, but emphasized that more work is needed.

Jim Willis, Joint Executive Secretary of the Basel, Stockholm and Rotterdam Conventions, emphasized that the Stockholm Convention has become the living dynamic instrument envisioned nearly 10 years ago. He underscored the importance of synergy among the chemicals conventions, noting that working together will allow the conventions to achieve more than would be possible independently.

Bakary Kante, on behalf of Achim Steiner, Executive Director of UNEP, lauded the synergy among the three chemicals conventions as constituting a “unique development” in the world of multilateral environmental agreements, and expressed hope that it would set a precedent for other processes.

Monique Barbut, Chief Executive Officer, Global Environment Facility (GEF), highlighted GEF’s assistance to developing countries and countries with economies in transition in eliminating POPs. She announced that the GEF Council has approved US$250,000 to assist countries in updating their NIPs to include new POPs. She informed delegates of efforts to improve the GEF investment model in response to requests by countries, stating that the GEF partnership is being expanded to include national and other entities, in preference to multilateral entities.

Paulina Lopez Fletes, youth representative and recipient of the Safe Planet Campaign film contest award, called for avoiding the adverse effects of POPs.

OPENING STATEMENTS:
On behalf of GRULAC, Costa Rica reiterated the region’s priorities, including financial and technical support, technology transfer, development of local and regional capacity, and training and awareness-raising.

Kenya, on behalf of the AFRICAN GROUP, emphasized the need for non-chemical alternatives to POPs, particularly DDT; expressed concern about reducing dioxin and furan emissions; and appealed for financial and technical assistance, technology transfer, local and regional capacity building, training, and awareness-raising.

INDIA underscored the need for strong scientific evidence and rigorous analysis of data, and said that new obligations should occur in tandem with provision of adequate financial resources.

The EU prioritized the listing of endosulfan, the work programme on new POPs, synergies, and the compliance mechanism as key issues for discussion at COP5, and expressed concern over the number of requests for financial assistance for various issues given the global financial crisis.

CHINA called for financial and technical assistance for developing countries as they strive to eliminate POPs. Noting the successful formulation of his country’s NIP, NEPAL outlined his country’s efforts to eliminate POPs, including banning DDT.

SWITZERLAND prioritized the listing of endosulfan based on the extensive work of the COPRC and noted the need to make every effort to reach agreement on all matters of substance by consensus, and to adopt decisions by general agreement.

ALGERIA noted her government’s commitment to creating an enabling environment for the elimination of POPs and announced her country’s interest in hosting a regional centre. Informing delegates of her country’s access to the three chemicals conventions, the RUSSIAN FEDERATION welcomed further cooperation on their implementation.

The INTERNATIONAL POPS ELIMINATION NETWORK (BIEN), PESTICIDE ACTION NETWORK (PAN) and the GLOBAL INDIGENOUS PEOPLES’ CAUCUS called for listing endosulfan in Annex A, with no exemptions. The GLOBAL INDIGENOUS PEOPLES’ CAUCUS underscored that long range environmental transport is not an abstract concept for indigenous peoples, and called upon COP5 to establish an indigenous peoples working group on effective implementation.

ORGANIZATIONAL MATTERS:
ELECTION OF OFFICERS: On this matter (UNEP/POPS/COP.5/2.1), noting rule 22 of the Rules of Procedure, the Secretariat introduced a proposal by the European Union (EU) (UNEP/POPS/COP.5/CRP.3) to elect the COP5 president, and to postpone the election of the nine vice presidents until after discussions on Rules of Procedure. Armenia, for Central and Eastern Europe, nominated Karel Blaha (Czech Republic) as COP5 President and parties agreed.

ADOPTION OF THE AGENDA: Plenary adopted the agenda (UNEP/POPS/COP.5/1) without amendment.

ORGANIZATION OF WORK: The Secretariat introduced the tentative organization of work (UNEP/POPS/COP.5/1/Add.1, UNEP/POPS/COP.5/INF/1, and UNEP/POPS/COP.5/CRP.1). Noting the need for high-level political agreement on the establishment of a compliance mechanism, COP5 President Blaha suggested, and delegates agreed, to task Barry Reville (Australia) with facilitating informal consultations on the issue.

REPORT ON CREDENTIALS: COP5 President Blaha stressed the importance of submitting credentials within 24 hours of the meeting’s opening.
RULES OF PROCEDURE
The Secretariat introduced a note on the Rules of Procedure (UNEP/POPS/COP.5/3) and reminded delegates of the need to address bracketed text under rule 45(1). COP5 President Blaha proposed removing the brackets, AUSTRALIA preferred retaining the brackets, and delegates agreed to consider the issue again at COP6.

The EU, supported by SWITZERLAND, introduced a proposal to amend rule 22 to change the timing of the election of COP Presidents (UNEP/POPS/COP.5/CRP.3). CHILE sought clarification on details of the proposal and parties agreed a drafting group would refine the text of the proposal.

PROGRAMME OF WORK AND ADOPTION OF THE BUDGET
The Secretariat introduced the activities undertaken by the Subcommittees 2010-2010 (UNEP/POPS/COP.5/33); the financial and staffing situation (UNEP/POPS/COP.5/34, and UNEP/POPS/ COP.5/INF/33); and three budget scenarios to be considered for the biennium 2012-2013 (UNEP/POPS/COP.5/35, and 35/ Add.1), namely the Executive Secretary’s assessment of the required rate of growth (9.5%), zero nominal growth, and 10% nominal growth. Noting the global financial situation, the Secretariat emphasized that the zero nominal growth budget scenario could only lead to a reduction of activities.

SWITZERLAND stressed that activities addressing new POPs should be prioritized, and expressed disappointment with the current lack of financial support from other donors. He proposed that 50% of the Swiss contribution be reallocated into the Convention’s voluntary trust fund which can be targeted towards Switzerland’s host country contribution and joint activities in the context of the synergies process.

JAPAN supported the zero nominal growth scenario, and proposed that budget negotiations be completed by Wednesday evening to allow Japan to consult with its capital prior to the Japanese national holiday on Friday.

The EU questioned the dependence of the PCBs Elimination Network and Global Alliance for alternatives to DDT on the Stockholm Convention accounts, and emphasized the need for greater strategic direction of the synergies process to improve efficiency.

ARGENTINA supported budgeting for activities on new chemicals, efficiency, and regional centers, and emphasized the need for new and adequate financial resources to enable developing countries to meet new commitments. MEXICO noted any budgetary hardships should support activities on effective implementation, efficiency, and NIPs, and underscored that synergies should involve zero budgetary growth.

CHILE, with the EU and INDONESIA, stressed that discussions on synergies among the three conventions and the budget should not be held in parallel. President Blaha noted this request and clarified that the plenary will forward all agreed decisions with budgetary implications to a budget group. A budget contact group, to be co-chaired by Kerstin Stendahl (Finland), was established, with a second Co-Chair to be determined before the group’s first meeting on Tuesday.

MATTERS RELATED TO THE IMPLEMENTATION OF THE CONVENTION
IMPLEMENTATION PLANS: The Secretariat introduced the issue (UNEP/POPS/COP.5/13, UNEP/POPS/COP.5/INF/7/ Rev.1, INF/8 and INF/47), noting 27 parties have transmitted NIPs since May 2009, and revised NIPs to reflect the new POPs will be due in August 2012.

The EU emphasized the need for NIPs to be revised and updated by the deadline. NIGERIA underscored stakeholder involvement in updating NIPs. LEBANON and MOROCCO noted progress since submitting their NIPs. KENYA emphasized that while most African countries have submitted their NIPs, they need to be updated to address the nine new POPs.

MEXICO supported revising guidelines for updating NIPs. SWITZERLAND suggested that new guidance would be strengthened by incorporation of references to activities in other processes, and would reflect synergies on a technical level.

MOLDOVA questioned its eligibility for financial assistance as a party to the Convention that has not ratified the amendments to Annexes A, B, and C. On the issue of eligibility, the GEF explained that the GEF Council has adopted the guidance for reviewing and updating NIPs, and the guidance indicates that only countries that are parties to the Convention are eligible for GEF funding.

COLOMBIA called for financial support and guidelines to enable compliance. VENEZUELA highlighted its efforts to reduce use of DDT, and emphasized that countries unable to comply should not be penalized.

NORWAY emphasized that guidance should focus on the core activities of the Convention, with the aims of developing further project proposals and facilitating synergies with the chemicals and waste fields.

Citing Article 10 of the Convention (public information, awareness and education), IPEN called for enhanced institutional mechanisms supporting civil society’s participation in increasing transparency and accountability.

TECHNICAL ASSISTANCE: The Secretariat introduced the documents on the guidance on technical assistance and the activities of the regional and subregional centres (UNEP/ POPS/COP.5/20 and 21), and the related information documents (UNEP/POPS/COP.5/INF/37-47). The EU invited parties and non-governmental organizations (NGOs) to provide relevant information regarding experience and guidance on technical assistance. SWITZERLAND supported new regional centres being built within existing institutions, such as the Basel Conventions Regional Centres (BCRCs).

BOLIVIA, with the EU and MEXICO, called for the ARAB GROUP, called for technical and financial assistance to facilitate timely implementation of NIPs. BOLIVIA also called on the Secretariat to provide evidence of activities related to technology transfer as required under Article 12 (technical assistance). CHINA, with IRAN, stressed the need for technical assistance to be provided quickly. MEXICO lamented that the work of the regional centres has so been insufficient, highlighting that no technical assistance activities for, among others, the environmentally-sound disposal of POPs, have been carried out in the GRULAC region. GABON highlighted the need for technical assistance to be aligned to national legislation. COLOMBIA called for the prioritization of technical assistance to developing country parties for the environmentally-sound management of POPs.

PANGLADESH and URUGUAY emphasized the need to strengthen the role of regional centers, with ARGENTINA underscoring the need for appropriate financial resources.

NAMIBIA stressed regional centers should meet the interests of parties in the region. IRAN called on parties to endorse the nomination of Iran’s BCRC. The EU emphasized the need to maintain a “reasonable number” of centers. JAPAN underscored the need to apply criteria, including the need for regional balance, in reviewing nominated centers.

Responding to questions on whether it had analyzed transfer of technology, and obstacles faced by parties in accessing such transfers, the Secretariat noted COP6 would have an opportunity to evaluate regional centers endorsed at COP4.

FINANCIAL RESOURCES: The Secretariat introduced the issue (UNEP/POPS/COP.5/12/22-27 and UNEP/POPS/COP.5/ INF/18-22 and INF/48). The GEF SECRETARIAT introduced its report to COP5 (UNEP/POPS/COP.5/24), which, inter alia, outlines key reforms to the GEF. Kante reported on the consultative process on financing chemicals and wastes, scheduled to meet again in early May.

IN THE CORRIDORS
In the job just a week, the newly appointed Joint Executive Secretary of the Basel, Stockholm and Rotterdam Conventions, Jim Willis, hit the running ground. This new post marks a key step in the move to synergize the chemicals and waste conventions, and Willis is tasked with propelling the treaties forward as a repackaged and lean machine to address their mandates in an integrated, effective and efficient manner. Easier said than done? Probably, but also, according to most delegates, necessary. As COP5 opened, delegates heard disgruntled rumblings on the need for zero nominal growth, the as-yet unmet promises of cost-savings through synergies, and concerns over the free-riding of some donors, all pointing to the urgent need for the synergies promises to pay dividends. Recalling the key role Willis played in developing the Convention, many seemed assured he is equal to this imposing task.
The fifth Conference of the Parties (COP5) to the Stockholm Convention on Persistent Organic Pollutants (POPs) convened for its second day in Geneva, Switzerland on Tuesday, 26 April 2011.

In the morning, delegates discussed finance and endosulfan. During the afternoon, delegates considered work plans on new POPs, synergies and exemptions.

The contact group on budget met throughout the day.

MATTERS RELATED TO THE IMPLEMENTATION OF THE CONVENTION

MEASURES TO REDUCE OR ELIMINATE RELEASES FROM WASTES: The Secretariat introduced the documents (UNEPI/POPS/COP.5/9, UNEP/POPS/COP.5/12, UNEP/POPS/COP.5/15, and UNEP/POPS/COP.5/16). JAPAN described his country’s guidance on the disposal of POP containing waste. The EU, with the US and BANGLADESH, supported the invitation of the Basel Convention to assist in the elimination of waste containing POPs, with the EU, supported by IPEN, requesting a definition of “low POP-content.” NORWAY welcomed the cooperation between the Stockholm Convention and the Basel Convention in the elimination of waste and with CANADA and INDONESIA, but opposed by the US, emphasized that the work of the POPRC should be taken into consideration. NIGERIA and NEPAL called for capacity building for developing countries in the elimination of waste-containing POPs. President Blaha requested the Secretariat to prepare a draft decision on this issue.

FINANCIAL RESOURCES: The Secretariat completed his introduction, highlighting a proposal to consolidate COP guidance to the financial mechanism (UNEPI/POPS/COP.5/26), and outlining four options for facilitating the work of the COP (UNEPI/POPS/COP.5/27): a subsidiary financial mechanism committee, an ad hoc working group, an open-ended intersessional electronic working group, and maintaining the status quo.

The EU favored postponing discussion on consolidating guidance to the GEF until COP6 to link directly with the GEF replenishment cycle. Morocco, for the ARAB GROUP, appealed to donor countries to allocate resources to help with development and implementation of NIPs. CHINA said the third review of the financial mechanism should focus on the difficulties in the area of the sustainability, predictability and sufficiency of funds. The AFRICAN GROUP underscored the need for financial commitments to allow for NIP implementation and technology transfer.

On the proposed options for facilitating the work of the COP, the EU, NORWAY, and the US favored maintaining the status quo. CHINA supported establishing a subsidiary body. NIGERIA, MÉXICO, and SENEGAL called for resolutions on financial resources at COP6, while SUDAN called for resolutions at COP5. MYANMAR urged equal access to GEF funding. IRAN underscored implications for compliance.

MÉXICO called for new financial resources to support NIPs, and requested a study on mobilizing resources.

SWITZERLAND urged the GEF to be responsive to developing country party needs.

The US said ratification of the Convention was its priority. IPEN proposed the reduction of co-financing requirements for least developed countries (LDCs) and Small Island Developing States (SIDS). The Center for International Environmental Law (CIEL), for IPEN, emphasized the need for effective public participation in any COP5 decision.

On needs assessment, the EU called for a periodic review every four years in line with the GEF replenishment process, and said additional studies on financial resources were unnecessary.

The US supported an independent study of financial resources additional to those provided by GEF, and called for more realistic estimates of funding needs.

A contact group on financial resources, to be co-chaired by Mohammed Khashashneh (Jordan) and Johanna Lissinger Peitz (Sweden), was established.

LISTING OF CHEMICALS IN ANNEX A, B OR C TO THE CONVENTION: The Secretariat introduced the documents relating to listing chemicals in Annexes A, B, and/or C of the Convention (UNEPI/POPS/COP.5/14-17 and UNEPI/POPS/COP.5/INF/9-12).

Endosulfan: POPRC Chair Reiner Arndt (Germany) introduced POPRC’s recommendation to list endosulfan in Annex A with specific exemptions, noting the recommendation was taken by consensus all POPRC members present and voted at POPRC-6.

Many countries commended the POPRC and POPRC Chair Arndt’s work.

SWITZERLAND supported adding endosulfan to Annex A with “restrained” allowance of exemptions, and emphasized that voting is an option for listing. SOUTH KOREA supported listing endosulfan and said decisions could be taken by general agreement.

The EU emphasized POPRC’s rigorous scientific analysis, noted that more than 80 alternatives were assessed, and, with NORWAY and GABON, supported listing in Annex A with no exemptions.

The AFRICAN GROUP supported listing in Annex A with specific exemptions for certain crop-pest complexes. BENIN underscored challenges arising from the illegal use of endosulfan and, with MOZAMBIQUE, underscored the need for alternatives. INDONESIA supported listing in Annex A with specific exemptions.

LEBANON, OMAN, ARGENTINA, MOROCCO, JORDAN and QATAR expressed support for listing in Annex A.

GRULAC supported listing in Annex A with specific exemptions for certain crop-pest complexes. BENIN underscored challenges arising from the illegal use of endosulfan and, with MOZAMBIQUE, underscored the need for alternatives. INDONESIA supported listing in Annex A with specific exemptions.

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LEBANON, OMAN, ARGENTINA, MOROCCO, JORDAN and QATAR expressed support for listing in Annex A.

GRULAC supported listing in Annex A with specific exemptions for certain crop-pest complexes. BENIN underscored challenges arising from the illegal use of endosulfan and, with MOZAMBIQUE, underscored the need for alternatives. INDONESIA supported listing in Annex A with specific exemptions.
called for consensus-based decision-making in POPRC, warning that doing otherwise could damage the credibility of POPRC and even the COP. INDIA said data are needed on non-POPs alternatives to endosulfan, substantive decisions by POPRC should be consensus-based, and financial assistance for implementation of current obligations should be secured prior to listing new chemicals.

SAMOA called for suspending the proposal to list endosulfan until further cost-effective and sustainable alternatives are identified by consensus.

The INTERNATIONAL STEWARDSHIP CENTER emphasized that the proposed alternatives to endosulfan are not affordable and that its listing will be detrimental to farmers. THANAL, the INUIT CIRCUMPOLAR COUNCIL, PAN and FAO, welcomed the proposed listing of endosulfan in Annex A, noting the severe health effects on farmers and indigenous peoples. The INDIAN CHEMICAL COUNCIL emphasized that there was insufficient scientific evidence to list endosulfan in Annex A.

President Blaha established a contact group chaired by Hala Saif Al-Easa (Qatar) to further discuss this issue.

**Work Programme on new POPs:** On the POPRC recommendations (UNEP/POPS/COP.5/15), JAPAN, supported by the EU, called for time for expert consideration of the feasibility of POPRC’s recommendations on the elimination of BDEs from the waste stream and on risk reduction from PFOS.

The EU said separating BDE articles from the waste stream should commence, but noted some recommendations required further clarification. NORWAY, supporting the EU, called for ceasing use of PFOS in open applications, and proposed discussions of further POPRC activities.

MEXICO noted the need for tracking of import and export of POPs-containing products in many developing countries.

The ARAB GROUP emphasized the importance of determining modalities for cooperation among countries.

SWITZERLAND emphasized the usefulness of the POPRC recommendations for countries with disposal operations that may release brominated flame retardants, and welcomed cooperation with the Basel Convention.

CANADA emphasized that any decision on disposal of waste containing BDEs should reflect the flexibility required by parties to best meet their national circumstances.

IFEN urged parties to implement the recommendations on POPs risk reduction and recycling of articles containing BDEs.

POPRC Chair Arndt emphasized that the recommendations were written so that countries in a position to do so can take action voluntarily.

COP5 President Blaha proposed, and delegates agreed, that Japan, the EU and Canada meet to discuss these issues. He clarified that the endosulfan contact group will add text on POPRC’s recommendations on work programme on new POPs. He also requested that the Secretariat prepare a draft decision on possible action by COP5 on POPRC’s terms of reference, other developments of its technical work, and effective participation in the work of the Committee.

**Exemptions:** The EU encouraged parties to notify their intended uses and exemptions for PFOS as soon as possible and called for identification of technically feasible alternatives to the substance. Citing limited information on the use of lindane, the EU did not support the request to develop a review requirement for the chemical. Consideration of this issue will resume Wednesday.

**NON-COMPLIANCE:** Reporting on his informal facilitation of discussions on non-compliance, Barry Reville (Australia), said some countries still harbored concerns about the lack of financial and technical assistance to developing country parties to reach compliance. The EU, JAPAN, SWITZERLAND and CANADA said that the Chair’s text from COP4, although not ideal, could be used as a basis for discussion. Delegates agreed to consider this issue again on Wednesday in plenary.

**ENHANCING COOPERATION AND COORDINATION AMONG THE BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS**

Joint Executive Secretary Willis introduced the relevant documents on enhancing synergies (UNEP/POPS/COP.5/32, Add.1, UNEP/POPS/COP.5/INF14-17 and INF46).

GRULAC appealed to donors to fund the clearing-house mechanism among the three Conventions. The EU emphasized that many aspects of synergies are linked to the programme of work and budget. It was suggested that: the synergies process should lead to mobilization of new, additional and predictable financial resources, and increased ratification; and noted some elements contained in the joint management document (UNEP/POPS/COP.5/32/Add.2) go beyond the mandate of the synergies process.

The AFRICAN GROUP proposed a defined coordination mechanism to enhance synergies at the national level.

NORWAY noted the administrative nature of the prepared documents does not sufficiently demonstrate the possibility for further joint actions and, with SWITZERLAND, supported giving Joint Executive Secretary Willis a broad mandate in restructuring, to be carried out in consultation with the Bureau and to be approved by COP6.

MEXICO requested an estimate of costs of synergy measures. CHINA and ARGENTINA stressed the need to strengthen implementation at the national and regional level.

On review arrangements, CHINA and ARGENTINA underscored parties should be the source of information. CHINA and MEXICO questioned the need to convene an ExCOP in 2013. SWITZERLAND underscored that 2011 may be too early, but that in 2013 parties will discuss the envisaged mechanism of synergies. The US supported holding combined meetings in 2013.

The FAO, on behalf of the IOMC, outlined contributions by its partner organizations.

Delegates agreed to continue discussion on synergies in a contact group chaired by Osvaldo Alvarez (Chile).

**CONTACT GROUPS**

**BUDGET:** The contact group, chaired by Kerstin Stendahl (Finland), discussed the budget scenarios (UNEP/POPS/COP.5/35/Add.1) and the Swiss request to reallocate part of their contribution from the core budget to the voluntary fund. One party expressed concern that if the zero nominal growth scenario is implemented, valuable staff members from the Secretariat would be lost. The group will reconvene on Wednesday.

**SYNERGIES:** The contact group chaired by Osvaldo Alvarez (Chile) met in the evening, and discussed documents prepared by the Secretariat (UNEP/POPS/COP.5/CRP.2). On joint services, some parties raised concerns with the budgetary implications of integrating the information technology platforms and services of the three Secretariats. On joint activities, participants agreed to consider prioritization and reviewed proposed cross-cutting activities for possible inclusion in the programmes of work of the three conventions for 2012-2013.

**FINANCE:** The contact group met in the evening. On technical assistance, participants considered ways in which the Secretariat might facilitate technology transfer, including a proposal to compile a list of technology needs and to evaluate the effectiveness of technical assistance programmes. Delegates then discussed the selection of new Stockholm Convention regional centers, with deliberations on the criteria to serve as the basis for evaluating the seven candidate centers. The contact group will meet again on Wednesday to consider financial resources and draft decisions arising from their deliberations.

**ENDOSULFAN AND NEW POPs:** The group began its work in the evening by considering possible exemptions associated with listing endosulfan under Annex A, notably those crop-pest complexes of particular concern to parties. A minority of participants questioned the mandate of the group, but after confirmation from the legal advisor, continued work.

**IN THE CORRIDORS**

Tuesday morning’s plenary was full and tense as COP5 turned its attention to endosulfan, the chemical that has hamstrung Stockholm’s sister convention, the Rotterdam Convention, for years. This unfortunate precedent had many interpreting consideration of endosulfan as a significant test for the Stockholm. Will Stockholm too, succumb to politics? Have wizened parties developed new strategies to avoid political blockades? As initial views were exchanged, some participants were pleased at the apparent openness of most parties to discussing the issue, other more seasoned delegates predicted long evenings ahead.
POPS COPS HIGHLIGHTS: WEDNESDAY, 27 APRIL 2011

The fifth Conference of the Parties (COP5) to the Stockholm Convention on Persistent Organic Pollutants (POPs) convened for its third day in Geneva, Switzerland on Wednesday, 27 April 2011.

In the morning, delegates discussed exemptions, effectiveness evaluation and non-compliance. During the afternoon delegates considered reducing unintentionally released POPs, information exchange and reporting.

Contact groups on budget, synergies, finance, endosulfan and new POPs, met throughout the day.

RULES OF PROCEDURE FOR THE CONFERENCE OF THE PARTIES AND ITS SUBSIDIARY BODIES

COP5 President Blaha introduced the draft decision on the amendment to rule 22 of the Rules of Procedure (UNEP/POPS/COP.5/CRP.5), and delegates adopted it without amendment.

MATTERS RELATED TO THE IMPLEMENTATION OF THE CONVENTION

MEASURES TO REDUCE OR ELIMINATE RELEASES FROM INTENTIONAL PRODUCTION AND USE:

Exemptions: NORWAY said the uses and exemptions for PFOS and listed PBDEs should be phased out as soon as possible, noting that the evaluation of exemptions for PFOS should be given priority, and that the outcome of the work programme on new POPs should lead to an informal decision on exemptions at COP6. INDONESIA said it would phase out lindane by 2015, and called for the sharing of experience and best practices on PFOS. The US said the assumptions in the report on the development of reporting and reviewing requirements for the use of lindane (UNEP/POPS/COP.5/INF/13) are misleading. GLOBAL INDIGENOUS PEOPLES’ CAUCUS cautioned against the continued use of lindane, and IPEN called for a rigorous review process for specific exemptions for PFOS at COP6. WHO called for new resources for provision of technical advice on eliminating lindane.

Effectiveness evaluation: The Secretariat introduced the documents related to the Global Monitoring Plan (GMP) for effectiveness evaluation (UNEP/POPS/COP.5/30 and UNEP/POPS/COP.5/INF/25-29). NIGERIA, with GHANA and VIETNAM, commended the work of UNEP, GEF and WHO in building capacity for effectiveness evaluation, particularly in the survey on POPs in human milk. The EU welcomed the report on climate change and POPs. The AFRICAN GROUP, with MEXICO, requested technical and financial assistance for establishing and equipping laboratories for the analysis of data in developing countries. ZAMBIA, with CANADA, welcomed the draft revised guidance on the GMP for POPs. CHINA called for increasing the number of developing countries on the effectiveness evaluation committee. MOROCCO called for “assurances of technical and financial assistance” for stronger effectiveness evaluation in the Arab world.

The REPUBLIC OF KOREA offered to hold an Asian regional workshop on analytical technology and information exchange.

The ISLANDS SUSTAINABILITY ALLIANCE proposed wide dissemination of GMP results in order to raise awareness of POPs.

On the overall considerations of effectiveness evaluation, the EU stated that as the Convention is currently without a compliance mechanism, it lacks a modality to ensure reporting, and concluded that it was therefore premature to establish an effectiveness evaluation committee. BRAZIL expressed concern with the proliferation of committees. CANADA, supported by the US, said effectiveness evaluation formed a crucial backbone of the Convention and stated that the lack of a compliance mechanism made effectiveness evaluation all the more important. COLOMBIA supported establishing a committee, underscoring the need for regional balance. PAKISTAN called for further financial and technical support for developing country parties. COLOMBIA suggested a revision of the evaluation framework, incorporating indicators for the implementation of related articles. The GLOBAL INDIGENOUS PEOPLES’ CAUCUS called for the establishment of a formal process for participation of indigenous people. SAMOA suggested the reporting mechanism include implementation benchmarks reflecting the capabilities of SIDS. A working group, chaired by Bettina Hitzfeld (Switzerland), was convened to consider the issue and discuss a draft decision on this matter.

Evaluation of the continued need for the procedure under paragraph 2 (b) of Article 3: The Secretariat introduced its report on evaluation of the continued need for the Article 3 paragraph 2 (b) procedure (UNEP/POPS/COP.5/8) on export provisions for listed POPs, stating that very little information had been received from parties relating to export and import of POPs. Delegates agreed to a draft decision supporting activities proposed by the Secretariat.

MEASURES TO REDUCE OR ELIMINATE RELEASES FROM UNINTENTIONAL PRODUCTION: Best available techniques (BAT) and best environmental practices (BEP):

The Secretariat introduced the documents (UNEP/POPS/COP.5/10 and UNEP/POPS/COP.5/INF/5). The EU questioned the need for the BAT/BEP Expert Group to meet annually and CANADA proposed meetings alongside those of the POPRC. The ARAB GROUP stressed the need to enhance developing countries’ capacity to implement the guidelines, and the AFRICAN GROUP welcomed the suggestion that the GEF finance this. CHINA underscored the need to incorporate new POPs. IPEN, with the INTERNATIONAL COUNCIL OF...
CHEMICAL ASSOCIATIONS, called for NGO experts to be included in the BAT/BEP expert roster. Parties requested that the Secretariat prepare a draft decision for consideration by COP5.

Identification and quantification of releases: The Secretariat introduced documents related to updating the Standardized Toolkit for Identification and Quantification of Dioxin and Furans Releases and associated expert meetings (UNEP/POPS/COP.5/11, UNEP/POPS/COP.5/INF/6 and 44).

The EU, with the PHILIPPINES and GRULAC, highlighted the need to ensure these tools are used to increase awareness. Parties requested that the Secretariat prepare a draft decision based on the recommendations in the documents for consideration by COP5.

INFORMATION EXCHANGE: The Secretariat introduced documents on clearinghouse mechanisms and POPs-free products (UNEP/POPS/COP.5/19, UNEP/POPS/COP.5/INF/34 and 50).

The EU, NORWAY, MEXICO, VENEZUELA, and INDONESIA supported the Secretariat’s report, while the AFRICAN GROUP underscored that the clearinghouse mechanism should be built on the existing activities undertaken by the Chemical Information Exchange Network (CIEN). They also called for the expansion of the CIEN, but the US questioned the viability of integrating the clearinghouse mechanism and the CIEN. The ARAB GROUP emphasized the need for technology transfer and sharing of best practices through regional and sub-regional centers. IPEN urged parties to define the responsibilities of stakeholders and beneficiaries. The GLOBAL INDIGENOUS PEOPLES’ CAUCUS stressed the adverse impacts of endosulfan and other POPs on indigenous people due to lack of information.


The EU called on the Secretariat to contact parties who have not submitted reports in an attempt to identify obstacles. CHILE called for a synergistic approach to reporting for the Stockholm and Basel Conventions. SWITZERLAND suggested that parties make use of lessons learned on reporting from other processes including Strategic Approach to International Chemicals Management. VENEZUELA requested that the online reporting tool be made available earlier to facilitate timely submissions by parties. CANADA urged that the strategy to increase the rate of submission of national reports by parties be developed in consultation with the Bureau. IPEN invited the GEF to establish an “appropriate mechanism” to assist developing countries in drawing up their national reports. CHINA and MOROCCO urged the Secretariat to streamline their online reporting tool. The Secretariat will prepare a draft decision on this matter.

NON-COMPLIANCE: Revisiting this issue, President Blaha called on delegates to identify a compromise or revisit non-compliance at COP6. The EU, with CANADA and CIEL, called for the adoption of the Chair’s text from COP4 “as it stands,” with CANADA noting that if this was not possible, the original notes from the work done at COP1 as well as the Chair’s text from COP4 should be used as a basis for discussions at COP6. GRULAC called for a trust fund to be established to assist developing countries and countries with economies in transition in meeting compliance obligations. The AFRICAN GROUP supported the use of the Chair’s text from COP4 as a basis for discussion. CHINA stressed that the deep-seated problems surrounding the establishment of a compliance mechanism needed to be addressed, and, with INDONESIA, called for adequate financial and technical assistance to be made available for developing countries’ compliance obligations.

INDIA underscored the need for negotiations on a compliance mechanism to proceed in tandem with the provision of financial resources, and recommended continuing consideration in the intersessional period. In response, the EU stressed the Convention has a financial mechanism, that the consultative process on financing chemicals and waste is currently taking place, and that a compliance mechanism would be beneficial to all parties. The US emphasized that the proposed compliance mechanism would be facilitative, not punitive, and would assist parties in complying with treaty obligations.

President Blaha proposed to adopt a decision ensuring negotiations continue at COP6, and delegates agreed to consult regionally on this proposal.

CONTACT GROUPS

BUDGET: Kerstin Stendahl (Finland) facilitated the group that met throughout the morning. It considered the text of the budget decision, initiated discussion on the budget’s baseline, and was yet to consider the item on financial rules. They will convene a joint session with the Synergies contact group to identify complementarities.

SYNERGIES: The contact group, chaired by Osvaldo Alvarez (Chile), met during the afternoon and evening. Participants agreed to delete several of the proposed joint activities for possible inclusion in the programmes of work of the three conventions.

The group reconvened in the evening to discuss the review arrangements, focusing on the terms of reference for the preparation of the report by the secretariats of the three conventions, and therefore by UNEP and the FAO.

FINANCE: In the morning, delegates considered financial resources, notably the draft terms of reference for the third review of the financial mechanism and for the needs assessment. On consolidating guidance to the GEF, participants agreed this could be postponed to COP6 so as to coincide with the sixth GEF replenishment process. On facilitating work on financing, in response to calls that form should follow function, delegates initiated discussions on needed functions.

In the afternoon, the group addressed technical assistance. They considered the nominated regional centres, including through the systematic review of updated tables by the Secretariat on submissions and activities by nominated centres. Participants also discussed a proposal to develop lists of technology needs and of available technologies, and to assess technical assistance and technology transfer.

ENDOSULFAN AND NEW POPs: The contact group on endosulfan and new POPs met in the morning. After review of CRP.10, submitted by Norway, on further activities for POPRC, the group divided into two sub-groups, one working on endosulfan and the other on POPRC’s recommendations on elimination of BDEs from the waste stream and risk reduction for PFOS. The group on endosulfan discussed how to test endosulfan sulfate; list crop-pest complexes; and assess alternatives to endosulfan. In the afternoon, a drafting group convened to prepare draft decisions on listing endosulfan, a work programme to address alternatives to endosulfan, and work programmes on new POPs.

OTHER MATTERS

The Secretariat introduced notes on official communications with parties and observers (UNEP/POPS/COP.5/28) and NGOs seeking accreditation to COP meetings (UNEP/POPS/COP.5/INF/31). Delegates requested the Secretariat to prepare draft decisions on these matters.

IN THE CORRIDORS

Upholding news of efficient progress in the contact group meetings on Wednesday, President Blaha likened himself to Alice in Wonderland, as he excitedly anticipated a timely completion of COP5’s work.

Others were more cynical, and alluded to a trip down the rabbit hole - leading straight back to COP4. They complained of a slightly painful sense of déjà vu as delegates failed to make progress on deliberations on a compliance mechanism. Citing the circular arguments of some countries, they said they were confused by the logic of demands that agreement on compliance be contingent on provision of additional finance. As the GEF was recently replenished, and a broader discussion on chemicals and wastes financing is being coordinated by the UNEP Executive Secretary, they expressed bewilderment about what more could be done.
POPS COPS HIGHLIGHTS: THURSDAY, 28 APRIL 2011

The fifth Conference of the Parties (COP5) to the Stockholm Convention on Persistent Organic Pollutants (POPs) convened for its fourth day in Geneva, Switzerland on Thursday, 28 April 2011.

In the morning, delegates discussed DDT and polychlorinated biphenyls (PCBs). During the afternoon delegates considered draft decisions on information exchange, effectiveness evaluation, non-compliance and endosulfan.

Contact groups on finance, synergies and budget met throughout the day.

MATTERS RELATED TO THE IMPLEMENTATION OF THE CONVENTION

MEASURES TO REDUCE OR ELIMINATE RELEASES FROM INTENTIONAL PRODUCTION AND USE:

Evaluation of the continued need for the procedure under paragraph 2 (b) of Article 3: Delegates considered and adopted the draft decision (UNEP/POPS/POP5/3/CRP.13) under this item without amendment.

DDT: The Secretariat introduced documents inter alia, on: the promotion of DDT alternatives, and a report of the DDT expert group and implementation of activities of the Global Alliance for Alternatives to DDT (UNEP/POPS/POP5/4-5, UNEP/POPS/POP5/INF/2-3 and 36).

SOUTH AFRICA provided a summary of the First Assembly of the Global Alliance for Alternatives to DDT which convened on 26 April 2011, noted that the Alliance aims to coordinate activities on the development of alternatives, and called for donor support.

Discussing the results of risk assessment of DDT use in indoor residual spraying, the WHO noted it has updated its position on the use of DDT and associated guidelines.

Noting that DDT was introduced to his country by WHO, GABON emphasized that it had not been effective in preventing vector borne disease and is now banned. INDIA supported the continued use of DDT in line with WHO guidelines. The AFRICAN GROUP called for technical assistance for judicious management of DDT use. MEXICO summarized efforts to phase-out DDT and offered to share its experiences.

The EU invited the Secretariat to collect information on alternatives to DDT, to be assessed by the expert group and POPRC.

INDONESIA recognized the need for a timeframe for reduction of DDT use and called for financial assistance for use of alternatives. The ARAB GROUP supported limits on the use of DDT and extension of resources to conduct inventories of DDT stockpiles. BANGLADESH and the DOMINICAN REPUBLIC called for assistance with disposal of DDT stockpiles.

SWITZERLAND proposed that DDT be phased out by 2020, with review by the COP in 2019.

GHANA highlighted problems caused by unauthorized use of DDT. JAPAN called for further information on effective alternatives.

WHO emphasized that choice of insecticides must consider technical, biological, and epidemiological factors, and highlighted the issue of pyrethroid resistance in mosquitoes.

IPEN urged the COP to establish an independent monitoring mechanism in countries using DDT. BIVOISION FOUNDATION supported rapid phase-out of DDT and deployment of new approaches.

The INDIAN CHEMICAL COUNCIL requested clarification on discrepancies concerning the number of countries that currently use DDT. AFRICA FIGHTING MALARIA drew attention to a decision taken by the African Leaders Malaria Alliance on the need for greater access to DDT to fight malaria.

President Blaha proposed, and delegates agreed, to request the Secretariat to prepare a draft decision on the issue.


SRI LANKA requested assistance in accessing test kits for identification of contaminated transformers.

MOLDOVA, with the REPUBLIC OF KOREA, supported the proposed measures in the Secretariat’s report (UNEP/POPS/POP5/5).

The EU requested that assessment of progress in eliminating PCBs take place at COP7, and, supported by SWITZERLAND, MEXICO and JAPAN, emphasized that the PCB Elimination Network (PEN) should not have financial consequences for the Stockholm Convention.

CHILE called for participation of sectors with relevant PCB(s) management experience.

IRAN, PAKISTAN, and BANGLADESH highlighted the importance of technology transfer and, with LEBANON, COLOMBIA, NIGERIA, and the ARAB GROUP, called for resources for PCB elimination.

CANADA called for the Basel Convention to lead work related to PCB waste. QATAR highlighted its work to eliminate PCBs in accordance with its NIP.

IRAQ called for technical assistance to help in PCB elimination. The AFRICAN GROUP called for, inter alia, training of personnel to deal with environmentally sound management of PCBs; equipment for PCB testing; and disposal and destruction technologies. INDONESIA requested that PEN be more focused on training and capacity building.

The CENTER for PUBLIC HEALTH AND ENVIRONMENTAL DEVELOPMENT highlighted measures being taken in Nepal to eliminate PCBs, including educating end-users on the dangers of these substances.

Offering the perspective that, through PEN, the Secretariat had become focused on implementation, Joint Executive Secretary Jim Willis presented the Secretariat’s proposal for PEN to continue its operations in a less formal manner, supported by
UNEP, similar to the regional centers. COP5 President Blaha requested the Secretariat to prepare a draft decision on this matter. 

MEASURES TO REDUCE OR ELIMINATE RELEASES FROM UNINTENTIONAL PRODUCTION: BAT and BEP: Delegates considered the draft decision on guidelines on BAT and provisional guidance on BET (UNEP/POPS/COP.5/CRP.14). The EU suggested amending the terminology of the expert group meeting from annually to biennially. Delegates adopted the draft decision with this amendment in the COP 5.

Identification and quantification of releases: Delegates considered the draft decision on the review and updating of the Standardized Toolkit for Identification and Quantification of Dioxin and Furans releases (UNEP/POPS/COP.5/CRP.15), and adopted it without amendment.

LISTING OF CHEMICALS IN ANNEX A, B OR C TO THE CONVENTION: Delegates considered the draft decision on the operation of the POPRC (UNEP/POPS/COP.5/CRP.8), including the decision text and an annex amending the terms of reference (ToR) of the POPRC. CANADA preferred omitting reference to wastes-related recommendations. Delegates agreed to the deletion and adopted the draft decision, and the annex on the POPRC’s ToR.

Delegates considered the draft decision on listing of technical endosulfan, its related isomers, and endosulfan sulfate (UNEP/POPS/COP.5/CRP.19).

INDIA emphasized that all substantive decisions should be consensus-based; called for identification of safe non-POPs alternatives; and underscored the need for technical and financial assistance for developing countries.

KUWAIT supported a total ban on endosulfan. CUBA supported listing endosulfan, pending inclusion of a preamble paragraph in CRP.19 linking endosulfan to financial and technical assistance for developing countries. NORWAY suggested this concern be reflected in decisions on financial resources.

CHINA supported CUBA and said endosulfan sulfates are not intentionally produced and should not be listed in Annex A. SWITZERLAND called for adoption of the decision and noted that listing endosulfan would enable access to GEF funding.

The EU supported Switzerland and called for keeping endosulfan sulfate in the listing, but noted it could live with China’s proposal to include reference to endosulfan sulfate in a footnote as opposed to listing it in Annex A.

The AFRICAN GROUP called for adoption of the draft decisions on listing and the work programme on endosulfan (CRP.20).

CUBA reiterated its proposal to include reference to financial and technical assistance in CRP.19 and delegates agreed to defer discussion on this matter.

Delegates then turned their attention to the draft decision on the work programme for BDEs and PFOS (CRP.21). KENYA, supported by FIJI, GHANA, MEXICO, BOLIVIA and NEW ZEALAND proposed the insertion of language requesting parties to ensure that waste materials containing BDEs are not exported except for the purpose of environmentally sound disposal in the importing country. The EU and CANADA requested time to consult on this addition and the matter was deferred.

REPORTING: Delegates considered the text on reporting (UNEP/POPS/COP.5/CRP.16) and agreed on minor amendments, subject to consideration by the budget group.

EFFECTIVENESS EVALUATION: Delegates considered the draft decision on the global monitoring plan for effectiveness evaluation (UNEP/POPS/COP.5/CRP.11) and adopted the decision without amendment.

INFORMATION EXCHANGE: Delegates considered the draft decision on information exchange (UNEP/POPS/COP.5/CRP.18) and adopted the decision without amendment.

NON-COMPLIANCE: President Blaha introduced a draft decision on resuming negotiations on compliance at COP5 (CRP.12), noting the proposal stresses intersessional work to address major issues.

CHINA requested clarification on what the proposed policy dialogue would entail. Serbia, for CENTRAL AND EASTERN EUROPE, supported the proposal to postpone deliberations on the establishment of a compliance mechanism to COP6. COP5 President Blaha noted that postponing the decision to COP6 was “very sad.” He explained his idea of the policy dialogue, which would see the Bureau facilitate bilateral talks between parties, and stressed that if the draft decision is adopted, parties would have to commit to adopting a compliance mechanism at COP6. Delegates will return to this issue on Friday.

OTHER MATTERS: Delegates considered and adopted the draft decision on official communications (UNEP/POPS/COP.5/CRP.22) without amendment.

CONTACT GROUPS:

BUDGET: The group met throughout the day and into the night to discuss draft decisions with budgetary implications, deliberate on which budget scenario to adopt, and consider the resultant implications of the Swiss proposal to reallocate its contributions. They further debated the most appropriate areas to make budget cuts if necessary, with one party stating a preference for cuts within the new POPs activities.

SYNERGIES: The contact group chaired by Osvaldo Álvarez (Chile) met in the morning and concluded revisions of the ToR for the review reports by the Secretariats, and those by UNEP and FAO. They considered textual changes on review arrangements, and joint activities in the draft decision on operationalization of synergies (CRP.2). The group continued consideration of joint centers into the COP framework.

JOINT BUDGET AND SYNERGIES: In the afternoon, the synergies and budget groups met jointly and discussed the budget for the joint Secretariat. They also considered synergies on joint managerial functions, and many parties said it was “interesting but not feasible” to hold back-to-back COPs in 2013.

FINANCE: On financial resources, participants completed discussions of draft decisions on the effective implementation of the memorandum of understanding with the GEF and on the third review of the financial mechanism. They also considered the draft decision on the needs assessment.

On technical assistance, delegates discussed a draft decision endorsing all seven nominated regional and sub-regional centers, with a provision that the endorsement for the nominated center in the Russian Federation would become effective upon deposit of the Russian Federation’s instruments of ratification. They discussed whether to invite further nominations, agreeing to refer to Decision SC3/12 that outlines the ToR for the selection of centers. The contact group also considered guidance on technical assistance.

In the evening session, the contact group was scheduled to address the ToR for the needs assessment, the facilitating work with regard to financial resources and mechanism, and guidance to the financial mechanism.

IN THE CORRIDORS: As COP5 made steady progress on several issues and edged its way towards listing endosulfan, some participants were caught off guard by the African Group’s last-minute request to ensure that waste materials containing BDEs are not exported (except for environmentally sound disposal). Some suggested that, in practice, this could mean that countries could continue recycling BDE-containing foams into products such as carpets and other non-hazardous uses.

Some observers heralded this as a way of closing what they see as a loophole introduced in the listing of these products at COP4 by allowing the recycling of articles that contain or may contain them. A few even noted this may be a means of ensuring BDEs in products are more carefully traced. As some delegates scrambled to respond to the request, a few participants philosophically characterized this as an opportunity for developed countries to step up to the plate and demonstrate their commitment to achieving a POPs-free world.

ENB SUMMARY AND ANALYSIS: The Earth Negotiations Bulletin summary and analysis of COP5 will be available on Monday, 2 May 2011 online at: http://www.isd.ca/chemical/pops/cop5/

The fifth meeting of the Conference of the Parties (COP5) to the Stockholm Convention on Persistent Organic Pollutants (POPs) was held from 25-29 April 2011, in Geneva, Switzerland. Over 700 participants, representing more than 125 governments, as well as intergovernmental and non-governmental organizations and UN agencies, attended the meeting. COP5 considered several reports on activities within the Convention’s mandate and adopted over 30 decisions on, inter alia: listing endosulfan in Annex A of the Convention; financial and technical assistance; synergies; and endorsing seven new Stockholm Convention regional centres, in Algeria, Senegal, Kenya, South Africa, Iran, India and the Russian Federation.

While some delegations expressed their disappointment at the lack of progress on the establishment of a compliance mechanism, as required under the Convention, and stressed the need to resolve this apparent impasse at COP6, most delegates departed the meeting satisfied that COP5 had been a success. The adoption of the decision to list endosulfan in Annex A was seen by non-governmental organization representatives as historic, indicating the Convention remains dynamic, and moving ever closer to its goal of protecting human health and the environment from POPs.

A BRIEF HISTORY OF THE STOCKHOLM CONVENTION

During the 1960s and 1970s, the use of chemicals and pesticides in industry and agriculture increased dramatically. In particular, a category of chemicals known as POPs attracted international attention due to a growing body of scientific evidence indicating that exposure to very low doses of POPs can lead to cancer, damage to the central and peripheral nervous systems, diseases of the immune system, reproductive disorders and interference with normal infant and child development. POPs are chemical substances that persist in the environment, bioaccumulate in living organisms, and can have adverse effects on human health and the environment. With further evidence of the long-range transport of these substances to regions where they have never been used or produced, and the consequent threats they pose to the global environment, the international community called for urgent global action to reduce and eliminate their release into the environment.

In March 1995, the United Nations Environment Programme’s Governing Council (UNEP GC) adopted Decision 18/32 inviting the Inter-Organization Programme on the Sound Management of Chemicals, the Intergovernmental Forum on Chemical Safety (IFCS) and the International Programme on Chemical Safety to initiate an assessment process regarding a list of 12 POPs. The IFCS Ad Hoc Working Group on POPs concluded that sufficient information existed to demonstrate the need for international action to minimize risks from the 12 POPs, including a global legally-binding instrument. The meeting forwarded a recommendation to the UNEP GC and the World Health Assembly (WHA) that immediate international action be taken on these substances.

In February 1997, the UNEP GC adopted Decision 19/13C endorsing the conclusions and recommendations of the IFCS. The GC requested that UNEP, together with relevant international organizations, convene an intergovernmental negotiating committee (INC) with a mandate to develop, by
the end of 2000, an international legally-binding instrument for implementing international action, beginning with the list of 12 POPs. In May 1997, the WHA endorsed the recommendations of the ICPS and requested that the World Health Organization participate actively in the negotiations.


The Stockholm Convention as adopted in 2001 calls for international action on 12 POPs grouped into three categories: 1) pesticides: aldrin, chlordane, DDT, dieldrin, endrin, heptachlor, mirex and toxaphene; 2) industrial chemicals: hexachlorobenzene (HCB) and polychlorinated biphenyls (PCBs); and 3) unintentionally produced POPs: dioxins and furans. Governments are to promote best available techniques (BAT) and best environmental practices (BEP) for replacing existing POPs while preventing the development of new POPs. Provision was also made for a procedure to identify additional POPs and the criteria to be considered in doing so.

Key elements of the treaty include: the requirement that developed countries provide new and additional financial resources; measures to eliminate production and use of intentionally produced POPs, eliminate unintentionally produced POPs, where feasible, and manage and dispose of POPs wastes in an environmentally sound manner; and substitution involving the use of safer chemicals and processes to prevent unintentionally produced POPs. Precaution is exercised throughout the Stockholm Convention, with specific references in the preamble, the objective and the provision on identifying new POPs.

The Stockholm Convention entered into force on 17 May 2004, and currently has 173 parties, including the European Community.

**COP1:** The first Conference of the Parties (COP1) to the Stockholm Convention was held from 2-6 May 2005, in Punta del Este, Uruguay. To set the Convention’s implementation in motion, delegates adopted a broad range of decisions, which had been elaborated during two meetings of the INC in June 2002 and July 2003. These decisions related to: providing for the evaluation of the continued need for DDT use for disease vector control; establishing a review process for entries in the register of specific exemptions; adopting guidance for the financial mechanism; establishing a schedule for reporting; establishing arrangements for monitoring data on POPs; adopting rules of procedure and financial rules; adopting the budget for the Secretariat; and establishing the Persistent Organic Pollutant Review Committee (POPRC).

The POPRC was established to regularly consider additional candidates for the annexes to the Convention. The Committee’s membership comprises 31 experts nominated by parties from the five regional groups. It reviews chemicals nominated by parties in three stages. The Committee first determines whether the substance fulfills POP screening criteria, as defined by the Convention in terms of its persistence, bioaccumulation, potential for long-range environmental transport (LRET), and toxicity. If a substance is deemed to fulfill these requirements, the Committee then drafts a risk profile to evaluate whether the substance is likely, as a result of its LRET, to lead to significant adverse human health and/or environmental effects and global action is therefore warranted. Finally, if the POPRC finds that global action is warranted, it develops a risk management evaluation reflecting socioeconomic considerations associated with possible control measures and, based on this, the POPRC decides to recommend that the COP list the substance under one or more of the annexes to the Convention.

**COP2:** This meeting took place from 1-5 May 2006, in Geneva, Switzerland. COP2 considered several reports on activities within the Convention’s mandate, and adopted 18 decisions on, *inter alia:* DDT, exemptions, financial resources and mechanisms, information exchange, BAT/BEP, identification and quantification of releases, measures to reduce or eliminate releases from wastes, implementation plans, listing chemicals in Annexes A, B and/or C of the Convention, reporting, technical assistance, synergies, effectiveness evaluation, and non-compliance.

**COP3:** Stockholm Convention COP3 was held from 30 April - 4 May 2007, in Dakar, Senegal. COP3 considered several reports on activities within the Convention’s mandate and adopted 22 decisions on, *inter alia:* a revised process for the review of entries in the register of specific exemptions; DDT; measures to reduce or eliminate releases from wastes; guidelines on the standardized toolkit for identification and quantification of releases; guidelines on BAT and draft guidance on BEP; regional centres; listing chemicals in Annexes A, B and/or C of the Convention; reporting; effectiveness evaluation; national implementation plans; budget; financial resources; technical assistance; synergies; and non-compliance.

**COP4:** COP4 was held from 4-8 May 2009 in Geneva, Switzerland. Parties adopted 33 decisions on a variety of topics, including financial resources and technical assistance and the agreement to list nine new substances under Annexes A, B, and/or C of the Convention, namely: c-pentabromodiphenyl ether; chlороdecone; hexabromobiphenyl (HBB); alpha hexachlorocyclohexane (alphaHCH); betaHCH; lindane; c-octabromodiphenyl ether, pentachlorobenzene (PeCB) and perfluorooctane sulfonate (PFOS), its salts and PFOS fluoride. The amendment to list additional POPs under Annexes A, B and/or C entered into force on 26 August 2010 for 151 parties. Parties also adopted a decision on cooperation and coordination among the Basel, Rotterdam and Stockholm Conventions, which included agreement to convene extraordinary meetings of the Conferences of the Parties.

**POPRC5:** POPRC5 met from 12-16 October 2009, and addressed several operational issues, including: work programmes on new POPs; substitutions and alternatives; toxicological interactions; and activities undertaken for effective participation in the POPRC’s work. POPRC5 agreed that hexabromocyclododecane (HBDC) met the Annex D criteria for listing and that a draft risk profile should be prepared. Draft risk profiles for endosulfan and short-chained chlorinated paraffins (SCCPs) were considered. SCCPs were kept in the Annex E phase for further consideration at POPRC6 and the Committee,
Indonesia. Delegates adopted an omnibus synergies decision through a vote, decided to move endosulfan to the Annex F phase, while inviting parties to submit additional information on adverse effects on human health.

**EX-COPS:** The simultaneous extraordinary Conferences of the Parties to the Basel, Rotterdam and Stockholm Conventions were held from 22-24 February 2010 in Bali, Indonesia. Delegates adopted an omnibus synergies decision on joint services, joint activities, synchronization of the budget cycles, joint audits, joint managerial functions, and review arrangements.

**POPRC6:** This meeting took place from 11-15 October 2010 in Geneva, Switzerland. POPRC6 adopted 12 decisions, including on: support for effective participation in POPRC’s work; the work programmes on new POPs; and intersessional work on toxic interactions. POPRC adopted the risk profile for HBCD and established an intersessional working group to prepare a draft risk management evaluation on HBCD. POPRC also agreed, by a vote, to adopt the risk management evaluation for endosulfan and recommend to COP listing endosulfan in Annex A, with exemptions. The Committee considered a revised draft risk profile on SCCPs, agreeing to convene an intersessional working group to revise the draft risk profile and to consider SCCPs again during POPRC7.

**COP5 REPORT**

COP4 President Gholamnossein Dehghani (Iran) welcomed delegates and opened COP5 on Monday morning, 25 April. He underscored the achievements made in the ten years since the adoption of the Stockholm Convention, but emphasized that more work is needed.

Jim Willis, Joint Executive Secretary of the Basel, Stockholm and Rotterdam Conventions, emphasized that the Stockholm Convention has become the living dynamic instrument envisioned nearly ten years ago. He underscored the importance of synergy among the chemicals conventions, noting that working together will allow the conventions to achieve more than would be possible independently.

Bakary Kante, on behalf of Achim Steiner, Executive Director of the United Nations Environment Programme (UNEP), lauded the synergy among the three chemicals conventions as constituting a “unique development” in the world of multilateral environmental agreements, and expressed hope that it would set a precedent for other processes.

Monique Barbut, Chief Executive Officer, Global Environment Facility (GEF), highlighted the GEF’s assistance to developing countries and countries with economies in transition in eliminating POPs. She announced that the GEF Council has approved US$250,000 to assist parties in updating their national implementation plans (NIPs) to include new POPs. She informed delegates of efforts to improve the GEF investment model in response to requests by countries, stating that the GEF partnership is being expanded to include national and other entities, in preference to multilateral entities.

Paulina Lopez Fletes, youth representative and recipient of the Safe Planet Campaign film contest award, called for avoiding the adverse effects of POPs.

On the election of officers (UNEP/POPS/COP.5/2), noting rule 22 of the Rules of Procedure, the Secretariat introduced a proposal by the European Union (EU) (UNEP/POPS/COP.5/CRP.3) to elect the COP5 president, and to postpone the election of the nine vice presidents until after discussions on the Rules of Procedure. Armenia, for Central and Eastern Europe, nominated Karel Blaha (Czech Republic) as COP5 President and parties agreed.

Delegates adopted the agenda (UNEP/POPS/COP.5/1) without amendment. The Secretariat introduced the tentative organization of work (UNEP/POPS/COP.5/1/Add.1 and UNEP/POPS/COP.5/INF/1) and it was adopted.

This report is organized according to the order of the agenda.

**RULES OF PROCEDURE**

On Monday, the Secretariat introduced a note on the Rules of Procedure (UNEP/POPS/COP.5/3) and reminded delegates of the need to address bracketed text under rule 45(1), on reaching agreement on substantive matters by two-thirds majority vote. COP5 President Blaha proposed removing the brackets, but Australia preferred retaining the brackets, and delegates agreed to consider the issue again at COP6.

Also on Monday, the EU, supported by Switzerland, introduced a proposal to amend rule 22 to change the timing of the election of COP Presidents. Chile sought clarification on details of the proposal and parties agreed a drafting group would refine the text of the proposal. President Blaha confirmed that under that the proposal, the election of the Bureau and the new President would occur at the close of COP5 and that those members would serve until the close of COP6.

On Wednesday President Blaha introduced the draft decision on the amendment to rule 22 of the Rules of Procedure and delegates adopted it without amendment.

**Final Decision:** In the decision (UNEP/POPS/COP.5/CRP.5) on the Rules of Procedure, the COP amends rule 22 so that at each COP the elected Bureau shall commence their terms of office at the closure of the meeting at which they are elected and remain in office until the closure of the next ordinary COP, including for any intervening extraordinary meeting.

**MATTERS FOR CONSIDERATION OR ACTION BY THE CONFERENCE OF THE PARTIES**

**MEASURES FOR REDUCE OR ELIMINATE RELEASES FROM INTENTIONAL PRODUCTION AND USE: DDT:**

On Thursday, the Secretariat introduced documents on the promotion of DDT alternatives, a report of the DDT expert group, and implementation of activities of the Global Alliance for Alternatives to DDT (UNEP/POPS/COP.5/4-5, UNEP/POPS/COP.5/INF/2-3 and 36). South Africa provided a summary of the First Assembly of the Global Alliance for Alternatives to DDT, which convened on 26 April 2011.

Discussing the results of risk assessment of DDT use in indoor residual spraying, the World Health Organization (WHO) noted it has updated its position on the use of DDT and associated guidelines.

The EU invited the Secretariat to collect information on alternatives to DDT, to be assessed by the DDT Expert Group and the POPRC. Indonesia recognized the need for a timeframe for reduction of DDT use and called for financial assistance for use of alternatives. The Arab Group supported limits on the use of DDT and extension of resources to conduct inventories of DDT stockpiles. Bangladesh and the Dominican Republic called for assistance with disposal of DDT stockpiles.

Switzerland proposed that DDT be phased out by 2020, with review by the COP in 2019. Japan called for further
information on effective alternatives. WHO emphasized that choice of insecticides must consider technical, biological, and epidemiological factors, and highlighted the issue of pyrethroid resistance in mosquitoes. Delegates agreed to request the Secretariat to prepare a draft decision on the issue.

On Friday, delegates considered a draft decision on DDT (UNEP/POPS/COP.5/CRP.24). The EU proposed changes to the draft decision to request: an expert group to undertake an in-depth review of the continued need for DDT; the POPRC to assess alternatives to DDT with respect to POPs characteristics; and the Secretariat to compile information to facilitate this work. The draft proposed that COP6 evaluate the continued need for DDT and agree on a feasible phase-out date (UNEP/POPS/COP.5/CRP.38). India emphasized that agreement on discussion of phase-out of DDT would not be possible at COP5 and questioned the need for the POPRC to assess alternatives to DDT, given limited resources. India, South Africa, Uganda, Zambia, Nigeria, Namibia, and Kenya for the African Group, expressed concerns about the EU proposal, with several emphasizing that work on alternatives is essential before the phase-out of DDT is discussed. Switzerland suggested, and delegates agreed, that a small group, including the EU and the African Group, work on compromise text.

Reporting back on the work of the small group, the EU agreed to delete reference to a phase-out deadline. Protracted discussions then began on the positioning of the Global Alliance and funding for the DDT Expert Group.

The African Group introduced new text (UNEP/POPS/COP.5/CRP.40) supporting the continued work of the global alliance on the development and deployment of products, methods and strategies as alternatives to DDT for disease vector control. The EU reminded delegates that there were no financial resources available for the continued work of the Global Alliance under the Stockholm Convention. India stressed that it was unrealistic to set ambitious targets for DDT elimination and then withhold the finances that would facilitate reaching those targets. After extensive debate, the African Group and India agreed that UNEP should “take over administration and implementation of the Global Alliance, in collaboration with WHO,” and called on the Secretariat to report on progress of this arrangement at COP6. On funding the work of the DDT Expert Group, as the budget group had already completed its work, Joint Executive Secretary Willis proposed, and delegates agreed, that meetings of the Expert Group could be funded from “synergy savings,” noting though that this was not routine procedure. Delegates then adopted the amended draft decision.

**Final Decision:** In the final decision (UNEP/POPS/COP.5/CRP.23), the COP, inter alia:

- requests the Secretariat to prepare for consideration by COP6 a draft format for reporting by parties that use or produce PFOS, its salts and Perfluorooctane sulfonyl fluoride (PFOSF) on the progress made in eliminating those chemicals, and to develop a process to enable the COP to evaluate the continued need for PFOS, its salts and PFOSF for the various acceptable purposes and specific exemptions based on available scientific, technical, environmental and economic information, and to report on progress to COP6;

- welcomes the cooperation of WHO in developing reporting and reviewing requirements for the use of lindane as a human health pharmaceutical for the control of head lice and scabies;

- invites parties to facilitate the provision of information relating to the use of lindane, including through notifications of registration for specific exemptions; and

- encourages those parties that may seek a specific exemption for future POPs to make efforts to introduce alternative measures as soon as possible, and requests the Secretariat to establish a revised register as appropriate.

The annex contains a list of parties identified by COP5 to nominate DDT expert group members whose terms of office will commence in September 2011, including South Africa and the Democratic Republic of the Congo for the African Group; Romania and Armenia for Central and Eastern Europe; India and China for Asia and the Pacific; and Panama and Paraguay for the Latin American and Caribbean Group (GRULAC). The Western Europe and Others Group is yet to nominate experts.

**Exemptions:** Plenary considered this issue on Tuesday afternoon and Wednesday morning. The Secretariat introduced the documents (UNEP/POPS/COP.5/7, UNEP/POPS/COP.5/18 and UNEP/POPS/COP.5/INF/13). In the discussion, the EU encouraged parties to notify their intended uses and exemptions for PFOS as soon as possible and called for identification of technically feasible alternatives to the substance. Citing limited information on the use of lindane, the EU did not support the request to develop a review requirement for the chemical. Norway said the uses and exemptions for PFOS and listed BDEs should be phased out as soon as possible, noting that the evaluation of exemptions for PFOS should be given priority.

Indonesia called for the sharing of experience and best practices on PFOS. The Global Indigenous Peoples’ Caucus cautioned against the continued use of lindane, and the International POPs Elimination Network (IPEN) called for a rigorous review process for specific exemptions for PFOS at COP6. WHO called for new resources for provision of technical advice on eliminating lindane. President Blaha requested the Secretariat to prepare a draft decision. On Friday, delegates considered and adopted the draft decision with no amendments.

**Final Decision:** In the final decision (UNEP/POPS/COP.5/CRP.23), the COP, inter alia:

- takes note of the report by the DDT expert group on the assessment of the continued need for DDT for disease vector control;

- concludes that countries relying on DDT for disease vector control may need to continue such use until locally appropriate and cost-effective alternatives are available for a sustainable transition away from DDT;

- adopts the list of parties (set out in the annex) to be invited to nominate experts to serve as members of the DDT expert group;

- requests the DDT expert group to undertake an in-depth assessment of the continued need for DDT;

- invites UNEP to take over the administration and implementation of the Global Alliance, in collaboration with the WHO; and

- requests the Secretariat to report on the status of this arrangement to COP6.
Evaluation of the continued need for the procedure under paragraph 2(b) of Article 3: On Wednesday, the Secretariat introduced its report (UNEP/POPS/COP.5/8) on export provisions for listed POPs, stating that very little information had been received from parties relating to export and import of POPs. Delegates agreed to the draft decision supporting activities proposed by the Secretariat. On Thursday, delegates considered and adopted a draft decision without amendment.

Final Decision: In the final decision (UNEP/POPS/COP.5/CRP.13), the COP, inter alia:
- concludes that the information currently available on experience of using the procedure under paragraph 2(b) of Article 3 is insufficient as a basis for evaluating the continued need for the procedure;
- urges parties to include in their reports information on their imports and exports of the chemicals listed in Annexes A and B to the Convention, if any, and in so doing, to provide as much information as is practicable regarding the destinations of exported chemicals and the purposes for which chemicals are imported; and
- decides to evaluate further the continued need for the procedure set out in paragraph 2(b) of Article 3 at COP6.

Polychlorinated biphenyls (PCBs): Plenary considered this issue on Thursday. The Secretariat introduced the documents on PCBs (UNEP/POPS/COP.5/9, UNEP/POPS/COP.5/29, UNEP/POPS/COP.5/INF/4 and UNEP/POPS/COP.5/INF/23). In the ensuing discussion, the EU requested that assessment of progress in eliminating PCBs take place at COP7 and, supported by Switzerland, Mexico and Japan, emphasized that the PCB Elimination Network (PEN) should not have financial support from Switzerland, Mexico and Japan, emphasized that the PCB Elimination Network (PEN) should not have financial support from Switzerland, Mexico and Japan, emphasized that the PCB Elimination Network (PEN) should not have financial support.

The African Group called for, inter alia, training of personnel to deal with environmentally sound management of PCBs; equipment for PCB testing; and disposal and destruction technologies. Indonesia requested that PEN be more focused on awareness. On Friday, delegates adopted the draft decision supporting activities proposed by the Secretariat.

Final Decision: In the final decision on guidelines on best available techniques and best environmental practices: On Wednesday, the Secretariat introduced the documents on best available techniques (BAT) and best environmental practices (BEP) (UNEP/POPS/COP.5/10 and UNEP/POPS/COP.5/INF/5).

The EU questioned the need for annual meetings of the BAT/BE Expert Group, and suggested amending the periodicity of the expert group meeting from annually to biennially. The Arab Group emphasized the need to enhance developing countries’ capacity to implement the guidelines, and the African Group welcomed the suggestion that the GEF finance this. China underscored the need to incorporate new POPs. IPEN, with the International Council of Chemical Associations, called for NGO experts to be included in the BAT/BE expert roster.

On Friday, the plenary adopted the decision with minor amendments.

Final Decision: In the final decision on guidelines on best available techniques and provisional guidance on best environmental practices (UNEP/POPS/COP.5/CRP.14), the COP, inter alia:
- adopts the procedure for updating guidelines and guidance; and
- invites parties to nominate experts to the joint Toolkit and expert roster and to provide funding for related activities.

Annexed to the decision is the procedure for the review and updating of the guidelines on BAT and the provisional guidance on BEP. The annex also notes that expert meetings will be organized back-to-back with the annual Toolkit Expert meeting.

Identification and quantification of releases: On Wednesday, the Secretariat introduced documents related to updating the Standardized Toolkit for Identification and Quantification of Dioxin and Furan Releases and associated expert meetings (UNEP/POPS/COP.5/11, UNEP/POPS/COP.5/INF/6 and 44). The EU, with the Philippines and GRULAC, emphasized a need to ensure these tools are used to increase awareness. On Friday, delegates adopted the draft decision without amendment.

Final Decision: In the final decision on review and updating of the Standardized Toolkit for Identification and Quantification of Dioxin and Furan Releases (UNEP/POPS/COP.5/CRP.15), the COP, inter alia:
- encourages parties to use the Toolkit and to provide comments on their experience;
- requests the Toolkit experts to prepare a preliminary analysis of information on unintentional releases of POPs; and
- invites parties, non-parties, intergovernmental organizations (IGOs), NGOs, and industry bodies to: provide data and information on chemicals listed in Annex C, as identified in the Toolkit review and updating process; participate in the Toolkit review and updating process; facilitate transfer of knowledge and capacity building through strategic partnerships and joint activities; and provide funding to support the above work.
MEASURES TO REDUCE OR ELIMINATE RELEASES FROM WASTES: The Secretariat introduced the documents on Tuesday (UNEP/POPS/COP.5/9, UNEP/POPS/COP.5/12, UNEP/POPS/COP.5/15, and UNEP/POPS/COP.5/16), and the COP discussed this issue on Tuesday and Friday.

During the plenary discussion, Japan described his country’s guidelines on the disposal of POPs-containing waste. Nigeria and Nepal called for capacity building for developing countries in the elimination of waste-containing POPs.

The EU, with the US and Bangladesh, supported the invitation of the Basel Convention to assist in the elimination of waste containing POPs, with the EU, supported by IPEN, requesting a definition of “low POP-content.” Norway welcomed the cooperation between the Stockholm Convention and the Basel Convention in the elimination of waste, and, with Canada and Indonesia but opposed by the US, emphasized that the work of the POPRC should be taken into consideration.

On Friday afternoon, the EU outlined its proposed amendments to the draft decision, including clarification of updating of the general technical guidelines and both development and updating of the specific guidelines on waste management. Australia proposed adding “if needed” to allow flexibility in updating the general technical guidelines, and delegates adopted the decision as amended.

Final Decision: In the final decision on measures to reduce or eliminate releases from wastes (UNEP/POPS/COP.5/CRP.29), the COP, inter alia:

- invites the Basel Convention to: establish the levels of destruction and irreversible transformation of chemicals to ensure POPs characteristics are not exhibited; consider methods that constitute environmentally sound disposal; define low POP-content; and update the general technical guidelines and to prepare or update specific technical guidelines for environmentally sound waste management; and
- invites parties and observers to provide financial support for parties implementing waste-related provisions of the Convention.

IMPLEMENTATION PLANS: On Monday afternoon in plenary, the Secretariat introduced the documents (UNEP/POPS/COP.5/13), including the reports related to the NIPs and comments on draft guidance on socioeconomic assessment for NIPs development and implementation (UNEP/POPS/COP.5/INF/7/Rev.1, INF/8 and INF/47).

Many parties called for revision of guidelines for updating NIPs, including the nine new POPs, with Switzerland and Norway highlighting the need for NIPs to facilitate synergies with other conventions. IPEN called for enhanced institutional mechanisms to support civil society’s participation in increasing transparency and accountability.

On Friday, plenary considered a draft decision that was adopted with two amendments, namely: to request the Secretariat to provide assistance to developing countries “with any difficulties they may encounter”; and to include reference to financial and technical assistance to developing countries for reviewing and updating NIPs.

Final Decision: In the decision on NIPs (UNEP/POPS/COP.5/CRP.7), the COP, inter alia:

- welcomes the additional NIPs transmitted by parties;
- encourages parties to use the existing guidance when developing, reviewing or updating their implementation plans and to provide the Secretariat with comments on how to improve the usefulness of the guidance;
- requests the Secretariat to prepare a revised version of the socioeconomic guidance and of the additional guidance on the calculation of action plan costs, and to identify any other guidance that might be required to assist parties; and
- invites parties and others in a position to do so to provide the additional funding required for developing the additional guidance.

LISTING CHEMICALS IN ANNEXES A, B OR C OF THE CONVENTION: Documents related to listing chemicals in Annexes A, B, and/or C of the Convention (UNEP/POPS/COP.5/14-17 and UNEP/POPS/COP.5/INF/9-12) were presented in plenary on Tuesday, and POPRC Chair Reiner Arndt (Germany) introduced the POPRC’s recommendation to list endosulfan in Annex A with specific exemptions, noting the recommendation was taken by consensus by all POPRC members present and voting at POPRC6.

Endosulfan: POPRC’s recommendation to list endosulfan in Annex A of the Convention was discussed in a contact group, chaired by Hala Saif Al-Ease (Qatar), on Tuesday and Wednesday, in a drafting group on Wednesday, and in plenary on Tuesday, Thursday and Friday.

In the plenary discussion, Switzerland supported adding endosulfan to Annex A with “restrained” allowance of exemptions, and noted that voting was an option if consensus could not be achieved. The Republic of Korea supported listing endosulfan and said decisions could be taken by general agreement.

Several countries, including Japan, Oman, Saudi Arabia and the US, emphasized the importance of consensus-based decision-making in POPRC, and China warned that voting on future recommendations could damage the credibility of POPRC and even the COP.

India emphasized the need for consensus-based decision-making in POPRC, highlighted the need for data on non-POPs alternatives to endosulfan, and called for financial assistance for implementation of current obligations prior to listing of new chemicals.

The EU emphasized the POPRC’s rigorous scientific analysis, noted that more than 80 alternatives were assessed, and, with Norway and Gabon, supported listing in Annex A with no exemptions. Lebanon, Oman, Argentina, Morocco, Jordan, Qatar and Kuwait expressed support for listing in Annex A, while the African Group and Indonesia supported listing in Annex A with specific exemptions for certain crop-pest complexes.

GRULAC supported listing in Annex A, emphasizing that financial and technical assistance are essential for implementation, and Cuba said the financial implications of listing needed to be clarified before it could support listing.

Samoa called for suspending the proposal to list endosulfan until further cost-effective and sustainable alternatives could be identified.

Thanal, the Inuit Circumpolar Council, the Pesticide Action Network and the UN Food and Agriculture Organization (FAO) welcomed the proposed listing, noting the severe health effects of endosulfan on farmers and indigenous peoples, while the International Stewardship Center emphasized that the proposed alternatives to endosulfan are not affordable, and said listing
would be detrimental to farmers. The Indian Chemical Council emphasized that there was insufficient scientific evidence to list endosulfan in Annex A.

On Thursday, plenary discussion on endosulfan focused on the link between listing and costs associated with implementation. Cuba suggested including a preambular paragraph in the draft decision explicitly linking listing to provision of financial and technical assistance for developing countries, and Norway suggested this concern be reflected instead in decisions on financial resources. Switzerland, supported by the EU, noted that listing endosulfan would open access to GEF funding.

On Friday in plenary, Cuba requested that its concerns about technical and financial assistance be included in the meeting report and supported adopting the draft decision. China emphasized that endosulfan sulfate should not be listed in Annex A since it is not intentionally produced, and proposed moving references to this metabolite to a footnote. Delegates agreed to delete references to endosulfan sulfate, with the EU’s clarification that the footnote should be visible in the amendment to Annex A.

India supported listing endosulfan in Annex A, noting the six-year window for phase-out plus the five-year extension, and underscored the importance of assessing alternatives and financial and technical assistance for developing countries. COP5 adopted the decision with amendments.

On Friday, delegates considered the draft decision on the work programme on endosulfan (UNEP/POPS/COP.5/CRP.20/Rev.1). President Blaha noted the budget group had approved the addition of a preambular paragraph proposed by India highlighting the need for identification of suitable, cost-effective, and safe alternatives, and delegates adopted the decision.

Final Decisions: In the final decision on the listing of technical endosulfan and its related isomers (UNEP/POPS/COP.5/CRP.19), the COP, inter alia, decides to list technical endosulfan and its isomers in Annex A with exemptions for specified crop-pest complexes.

In the decision on the work programme on endosulfan (UNEP/POPS/COP.5/CRP.20/Rev.1), the COP, inter alia, decides to undertake a work programme to support development and deployment of alternatives to endosulfan; and invites parties and observers to provide technical and financial support for those activities. Annexed to the decision are the elements of the work programme.

Work Programme on new POPs: Delegates considered the POPRC’s recommendations on the elimination of bromodiphenyl ethers (BDEs) from the waste stream and risk reduction for PFOS (UNEP/POPS/COP.5/15) in plenary on Tuesday, Thursday and Friday, and in the contact group on endosulfan and new POPs on Tuesday and Wednesday.

Japan, supported by the US, called for time for expert consideration of the feasibility of the POPRC’s recommendations on the elimination of BDEs from the waste stream and on risk reduction from PFOS. The EU said separating BDE articles from the waste stream should commence, but noted some recommendations required further clarification.

Canada emphasized that any decision on disposal of waste containing BDEs should reflect the flexibility required by parties to best meet their national circumstances, and POPRC Chair Arndt emphasized that the recommendations are written so that countries in a position to do so can take action voluntarily.
Secretariat to commission a technical paper based on the terms of reference (ToR) for POPRC8, and to develop recommendations for COP6 on this basis.

**Operation of the POPRC:** On Thursday, delegates considered the draft decision on the operation of the POPRC (UNEP/POPS/COP.5/CRP.8), including the decision text and an annex amending the ToR of the POPRC. Canada preferred omitting reference to wastes-related recommendations. Delegates agreed to the deletion and adopted the draft decision, and the annex on the POPRC’s ToR.

On Friday in plenary, delegates heard regional group nominations for the POPRC, including Kenya, Sudan, Madagascar and Cameroon for the African Group; the Former Yugoslav Republic of Macedonia for Central and Eastern Europe; India, Indonesia, the Republic of Korea and Kuwait for Asia and the Pacific; Cuba and Brazil for GRULAC; and France and Norway for the Western Europe and Others Group, with another nomination to be decided by the group and forwarded to the Secretariat. Delegates agreed to the country nominations, with names of specific individuals to be provided to the Secretariat.

**Final Decision:** In the final decision on the operation of the POPRC (UNEP/POPS/COP.5/CRP.8), the COP, *inter alia*:
- welcomes the entry into force of the amendments listing nine new POPs in Annexes A, B, and C;
- adopts: the amendments to the Committee’s ToR; and the list of parties invited to nominate POPRC members for terms commencing in May 2012; and
- endorses the publication of the handbook and pocket guide on POPRC’s work.

**INFORMATION EXCHANGE:** On Wednesday in plenary, the Secretariat introduced documents on the clearing-house mechanism and POPs-free products (UNEP/POPS/COP.5/19, UNEP/POPS/COP.5/INF/34 and 50). While many countries supported the Secretariat’s reports, the African Group underscored that the clearing-house mechanism should be built on the existing activities undertaken by the Chemical Information Exchange Network (CIEN), whereas the US questioned the viability of integrating the clearing-house mechanism and the CIEN. IPEN urged parties to define the responsibilities of stakeholders and beneficiaries.

On Thursday, the draft decision was presented to plenary and adopted without amendment.

**Final Decision:** In the final decision on information exchange (UNEP/POPS/COP.5/CRP.18), the COP, *inter alia*:
- takes note of the progress made in the implementation of the clearing-house mechanism and requests the Secretariat to complete an evaluation of its first phase for the period 2008-2011 by the end of 2011;
- requests the Secretariat to use the social network and online collaboration website to collect the input required from parties, partners and interested stakeholders and to complete the guidance document for the development of the clearing-house mechanism regional and national nodes;
- encourages parties and other stakeholders to use the clearing-house mechanism and its tools when implementing projects;
- requests the Secretariat to continue to work with the secretariats of the Basel and Rotterdam Conventions to complete the development of the clearing-house mechanism so that it serves all three conventions;
- decides that all new phases in the development of the clearing-house mechanism functions relating to the Stockholm Convention should be implemented as part of the development of the clearing-house mechanism serving all three conventions, and that further work plans and progress reports should be presented for consideration by the COP as joint activities of the three conventions; and
- invites the Secretariat, parties, governments and any other interested stakeholders, in implementing their clearing-house mechanism projects, to build upon and link to such existing information exchange initiatives and tools as the CIEN and national pollutant release and transfer registers.

**TECHNICAL ASSISTANCE:** The items on technical assistance were taken up in plenary on Monday, and in a contact group on technical assistance and financial resources co-chaired by Mohammed Khashashneh (Jordan) and Johanna Lissinger Peitz (Sweden). The contact group met from Tuesday to Friday and considered guidance on technical assistance and regional centres.

**Guidance on technical assistance:** Technical assistance was discussed on the basis of documents prepared by the Secretariat (UNEP/POPS/COP.5/20 and UNEP/POPS/COP.5/INF/39-43). Several developing country parties emphasized technology transfer, and in the contact group participants discussed a proposal to compile a list of technologies needed by developing country parties along with a list of technologies available for transfer from developed country parties. Concerns were raised as to the usefulness and cost implications of such a compilation. Discussions also focused on the need to evaluate the effectiveness of technical assistance programmes.

In plenary on Friday, President Blaha introduced the draft decision on guidance on technical assistance and delegates adopted the draft decision without amendment.

**Final Decision:** In the decision (UNEP/POPS/COP.5/CRP.32), the COP:
- invites developing country parties and parties with economies in transition to provide information to the Secretariat on technical assistance and technology transfer needs and the barriers and obstacles in that regard;
- invites developed country parties to provide information to the Secretariat on the technical assistance and technologies that are available to be transferred to developing country parties and parties with economies in transition, together with the barriers and obstacles to responding to those needs;
- invites parties and others to share agro-ecological knowledge, experience, strategies and practices that could be relevant as alternatives to persistent organic pollutants;
- encourages the GEF and parties in a position to do so to provide the funds necessary to facilitate the provision of technical assistance and technology transfer to developing country parties and parties with economies in transition;
- invites parties and relevant international and NGOs, including regional centres, to provide information to the Secretariat by 31 March 2012 on their experiences in implementing the guidance on technical assistance and transfer of environmentally sound technologies;
- requests the Secretariat to submit a progress report to COP6 on the application of that guidance, which should include analysis of obstacles and barriers to accessing technical...
assistance and technology transfer and recommendations on how to overcome them;

• invites the Stockholm Convention regional centres to develop and regularly update a list of technologies available to be transferred to developing countries and parties with economies in transition; and;

• requests the Secretariat to continue to implement its technical assistance programme taking into account the guidance on technical assistance and transfer of environmentally sound technologies set out in the annex to decision SC-1/15.

Regional and subregional centres: The Stockholm Convention regional and subregional centres for capacity building and transfer of environmentally sound technologies were discussed on the basis of documents prepared by the Secretariat that reported on regional centres’ activities, notably those activities of the four centres not endorsed at COP4 and those of three newly nominated centres (UNEP/POPS/COP.5/21, UNEP/POPS/COP.5/INF/37 and INF/38). The contact group, co-chaired by Mohammed Khashashneh (Jordan) and Johanna Lissinger Peitz (Sweden), reviewed the submitted information and solicited a compilation from the Secretariat in the same format as that used in reviewing nominated centres at COP4 according to the evaluation criteria set out in Annex II of Decision SC-2/9.

Some participants underscored the need to limit the number of regional and subregional centres to a “reasonable” number and the importance of regional balance. Some delegates also highlighted concerns at the low level of activity of some centres. Contact group members noted that centres will be reviewed by the COP four years after their endorsement, and discussed the need for a methodology for this review. The Stockholm Convention Regional Centres in Latin America and the Caribbean also presented their networking efforts.

Participants systematically reviewed the information available on nominated centres, supplemented by clarifications from representatives of those centres. Delegates agreed to endorse all nominated regional and subregional centres, with a provision that the endorsement for the nominated centre in the Russian Federation would become effective upon deposit of the Russian Federation’s instruments of ratification. The compromise that led to the endorsement also included expressing concern in the memorandum of understanding (MoU) between the COP and the GEF Council, the review of the financial mechanism, needs assessment, facilitating work with regard to financial resources and mechanisms, and additional guidance to the financial mechanism.

MoU between the COP and the GEF Council: The contact group discussed the effectiveness of the implementation of the MoU between the COP and the GEF Council, based on the proposed actions outlined by the Secretariat in its document (UNEP/POPS/COP.5/23), and on a GEF report to COP5 (UNEP/POPS/COP.5/24 and UNEP/POPS/COP.5/INF/20). Participants discussed the need for the GEF report to COP to include:

• information on the adequacy and sustainability of funding for activities relevant to the implementation of the Convention (paragraph 3(b); and, in case of any project proposals included in a work programme that are not approved by the Council, the reasons for not being approved (paragraph 9(d)). Following consultations, participants agreed to retain a reference only to paragraph 9(d).

In plenary on Friday, President Blaha introduced the draft decision on regional centres and delegates adopted the draft decision with a minor textual amendment.

Final Decision: In the decision on regional and subregional centres for capacity building and transfer of technology (UNEP/POPS/COP.5/CRP.33), the COP:

• welcomes the joint proposal on specific areas of expertise and the network established by the Stockholm Convention Regional Centres in Latin America and the Caribbean (outlined in Annexes II and III to the decision), and encourages those centres to continue to strengthen cooperation and coordination between them;

• takes note of the workplans and activity of the Stockholm Convention regional and subregional centres and the nominated centres and expresses concern that some nominated centres have reported a low level of activity;

• requests the endorsed regional and subregional centres to submit workplans and activity reports for consideration at COP6;

• reminds those centres endorsed at COP4 that COP6 will evaluate the performance and sustainability of each centre as part of the reconsideration of their status in accordance with the criteria in Annex II to Decision SC-2/9;

• requests the Secretariat to develop a methodology for this evaluation, which should include a quantitative analysis;

• endorses for four years seven nominated centres listed in Annex I to the decision, namely those in Algeria, Senegal, Kenya, South Africa, Iran, India and the Russian Federation, with the latter endorsement scheduled to enter into force upon deposit of the Russian Federation’s instrument of ratification;

• decides to evaluate the newly endorsed centres at COP7;

• requests the financial mechanism, and invites parties and observers and other financial institutions in a position to do so, to provide financial support to enable the regional centres to implement their workplans; and,

• requests the Secretariat to prepare for consideration by COP6 a report on the activities of the Stockholm Convention regional and subregional centres.

FINANCIAL RESOURCES: The items on financial resources were taken up briefly in plenary on Monday and Tuesday, and discussed extensively in a contact group on technical assistance and financial resources co-chaired by Mohammed Khashashneh (Jordan) and Johanna Lissinger Peitz (Sweden). The contact group met from Tuesday to Friday and considered effectiveness of the implementation of the Memorandum of Understanding (MoU) between the COP and the GEF Council, the review of the financial mechanism, needs assessment, facilitating work with regard to financial resources and mechanisms, and additional guidance to the financial mechanism.

In plenary on Friday, President Blaha introduced the draft decision on the effectiveness of the implementation of the MoU between the COP and the GEF, and delegates adopted it without amendment.

Final Decision: In the decision (UNEP/POPS/COP.5/CRP.27), the COP:

• takes note of the Secretariat’s report on the effectiveness of the MoU’s implementation;

• welcomes the GEF report to COP5;

• recalls the GEF Council shall provide regular reports to the
COP, including information pursuant to paragraph 9(d) of the MoU;
• welcomes the continuing cooperation between the Stockholm Convention and GEF Secretariats; and,
• requests the Secretariat, in consultation with the GEF Secretariat, to prepare a report on the effectiveness of the MoU’s implementation for consideration by COP6.

Review of the financial mechanism: The contact group discussed the draft ToR for the third review of the financial mechanism to be considered at COP6 (UNEP/POPS/COP.5/25).

After a brief consideration, participants agreed to adopt the draft ToR without amendment.

Final Decision: In the decision (UNEP/POPS/COP.5/CRP.28), the COP adopts the ToR for the third review of the financial mechanism and requests the Secretariat to compile relevant information for consideration at COP6. The annex to the decision sets out the ToR for the review, including performance criteria to be taken into account in assessing the effectiveness of the financial mechanism.

Needs assessment: The contact group discussed the draft ToR for the needs assessment (UNEP/POPS/COP.5/22). Participants underscored the importance of improving on the needs assessment prepared for COP4, with many highlighting the importance of standardized reports that clearly distinguish among baseline and incremental resource estimates. Developing country delegates also emphasized the need to reflect needs for the 2010-2014 period, both as information to be used in the third review of the financial mechanism and for inclusion in the needs assessment for the 2015-2019 period. In their discussion of the ToR for the needs assessment, participants relied on the recommendations of those expert consultants prepared for COP4.

On Friday in plenary, President Blaha introduced the draft decision on the ToR for the needs assessment and delegates adopted it without amendment.

Final Decision: In the final decision (UNEP/POPS/COP.5/CRP.35), the COP, inter alia:
• invites developed country parties, other parties and other sources, including relevant funding institutions and the private sector, to provide by 31 December 2011, further information to the Secretariat on ways in which they can support the Convention;
• requests the Secretariat to prepare, on the basis of this submitted information, a report for COP6’s consideration reviewing the availability of additional financial resources and ways and means of mobilizing and channeling those resources in support of the Convention’s objective;
• invites parties and others to provide the relevant information required to undertake the assessment of funding needs for COP6 consideration;
• decides to undertake the assessment of funding needs every four years starting at COP6 as an input to the negotiations on the GEF replenishment;
• requests parties to use a format set forth in Annex II in developing new or amending existing implementation plans and in assessing and reporting on resources needs, including an executive summary containing critical substantive and financial issues contained in their NIPs and in their submissions on funding needs;
• invites parties, the GEF, and relevant IGOs and NGOs to provide the Secretariat information on their views and experiences in applying the methodology used to undertake the needs assessment, including information on priority setting in national implementation plans as appropriate, for the continuous improvement of the methodology; and,
• takes note of the increasing number of submitted NIPs and the obligation of those parties for whom amendments for the nine new POPs have entered into force to update their NIPs.

The COP also requests that the needs assessment include updated information for the period 2010-2014, where available, and that any updated information be used as input to the third review of the financial mechanism; and underlines that ongoing needs identified in previous assessments of baseline and agreed full incremental costs needed by developing country parties and parties with economies in transition to implement the Convention should be included in the 2015-2019 needs assessment.

In the decision, the COP also requests the Secretariat: to develop an information collection form and guidance on how to complete the form to be used by parties when compiling information; and to provide assistance to parties, upon request, to facilitate their assessment of the resources they used during the period 2010-2014 and the funding they need to implement the Convention during the period 2015-2019.

The adopted decision contains three annexes. The first includes the adopted ToR for the assessment of funding needed by developing country parties and parties with economies in transition to implement the Convention over the period 2015-2019. The second annex outlines an adopted format that parties are requested to use to facilitate their assessment of and reporting on the funding used during the period 2010-2014 and their funding needs for 2015-2019, and guidance relevant to its use by parties. The Secretariat is requested to make available to parties Annex III, which includes a list of guidance documents pertaining to the assessment of funding needs, including such matters as determining baselines and incremental resource estimates, and guidance on matching funds and other sources of voluntary funding.

Facilitating work with regard to financial resources and mechanisms: This issue was discussed on the basis of a report from the Secretariat arising from a COP4 decision that outlines four options for facilitating the work of the COP (UNEP/POPS/COP.5/27): a subsidiary financial mechanism committee, an ad hoc working group, an open-ended intersessional electronic working group, and maintaining the status quo. In these discussions, many participants referred to the ongoing consultative process on financing chemicals and wastes (UNEP/POPS/COP.5/INF/48), scheduled to meet again in early May 2011, with China expressing its dissatisfaction with progress made in those consultations.

In the contact group deliberations, discussions were structured according to the logic that form must follow function. The group considered a list, submitted by China, of main functions of the proposed financial mechanism committee, which included, inter alia, reviewing the effectiveness of the financial mechanism, making practical recommendations to improve the mechanism’s effectiveness and solve the problem of increasingly sharp contradiction between demand and supply of funds, and drafting
relevant reports to lay the basis for decisions to be adopted by COP. Several contact group participants underscored that these functions were already being served by the status quo.

Positions remained split between some developing country parties which supported the establishment of a subsidiary financial mechanism committee, and the EU and others who favored maintaining the status quo. The contact group drafted a decision to continue the discussion at COP6.

In plenary on Friday, President Blaha introduced the draft decision on the issue and parties adopted it without amendment.

**Final Decision**: In the decision (UNEP/POPS/COP.5/CRP.39), the COP:

- recognizes the importance of the need to improve the efficiency of the COP’s work with regard to financial resources and mechanisms;
- recalls decision SC-4/29 on facilitating work with regard to financial resources and mechanisms;
- decides to continue the discussion on exploring options for facilitating work with regard to financial resources and mechanisms, including the option of a financial mechanism committee;
- requests the Executive Secretary to undertake consultations with the COP Bureau with the objective of supporting discussions on finance-related issues during regular COP meetings;
- recalls that the consultative process on financing options on chemicals and wastes was first announced by UNEP’s Executive Director at COP4; and,
- requests the Executive Secretary to take into account the outcomes of this consultative process in his consultations on ways and means to improve the efficiency of the work of the COP with regard to financial resources and mechanisms.

**Guidance to the financial mechanism**: This issue was considered on the basis of a Secretariat document, including a proposal to consolidate COP guidance to the financial mechanism (UNEP/POPS/COP.5/26). Participants agreed to postpone the consolidation of guidance until COP6 so as to coincide with the GEF replenishment process.

The contact group also considered additional guidance to the financial mechanism, including that arising from outcomes on other issues being considered at COP5, including on DDT and endosulfan. On endosulfan, the contact group considered text, other issues being considered at COP5, including on DDT and financial mechanism, including that arising from outcomes on coinciding with the GEF replenishment process.

In plenary on Friday, President Blaha introduced the draft decision on additional guidance to the financial mechanism (UNEP/POPS/COP.5/26). Participants agreed to continue the discussion at COP6.

**Final Decision**: In the decision (UNEP/POPS/COP.5/CRP.34), the COP requests:

- the Secretariat to prepare consolidated guidance to the financial mechanism for consideration by COP6 and decides to update the consolidated guidance every four years starting at COP6 as an input to the negotiations on the GEF replenishment;
- the financial mechanism to support the activities for the newly listed chemicals and to invite other international financial institutions to do so;
- the financial mechanism to provide funding to parties to enable them to implement BAT and BEP to support the reduction or elimination of unintentional releases of POPs; and
- the financial mechanism, when providing financial support, to give priority to countries that have not yet received funding for the implementation of activities contained in their NIPs. In the decision, the COP recognizes that financial and technical support is required to facilitate the replacement of the use of endosulfan in developing countries.

Parties also request the financial mechanism, and invite parties and observers and other financial institutions in a position to do so, to provide financial support: for country-driven training and capacity-building activities related to activities of the PEN; to enable regional centres to implement their workplans; and for the development and deployment of products, methods and strategies as alternatives to DDT.

The COP also encourages the GEF and parties in a position to do so to provide funds necessary to facilitate the technical assistance and technology transfer to developing country parties and parties with economies in transition.

Further, the COP requests the financial mechanism, and invites other donors, to provide financial support to permit further step-by-step capacity enhancement, including through strategic partnerships, to: enable the collection of data on all indicators stipulated in the effectiveness evaluation framework; and to sustain the new monitoring initiatives.

**REPORTING**: On Wednesday in plenary the Secretariat introduced the documents (UNEP/POPS/COP.5/29 and UNEP/POPS/COP.5/INF/23-24). Many parties emphasized the needs to draw lessons from other reporting processes, and to streamline the online reporting tool. On Friday, delegates considered and adopted the draft decision with minor amendments.

**Final Decision**: In the final decision on reporting (UNEP/POPS/COP.5/CRP.16), the COP, inter alia:

- welcomes the reports submitted by parties pursuant to Article 15 reporting;
- takes note of the Secretariat’s report on progress in eliminating PCBs;
- urges parties that have not yet done so to submit their national reports pursuant to Article 15 no later than 31 July 2011;
- decides that each party shall submit its third national report pursuant to Article 15 to the Secretariat by 31 August 2014 for consideration by COP7;
- requests the Secretariat to prepare a report for consideration by COP7; and
- requests the Secretariat to update the reporting format to include the nine newly listed chemicals listed in Annexes A, B and C for consideration by COP6, and to further improve the electronic system for reporting.

**EFFECTIVENESS EVALUATION**: This issue was taken up in plenary on Wednesday and Thursday and also in an informal group coordinated by Bettina Hitzfeld (Switzerland).

On Wednesday in plenary, the Secretariat introduced the documents related to the Global Monitoring Plan (GMP) for effectiveness evaluation (UNEP/POPS/COP.5/30 and UNEP/POPS/COP.5/INF/25-29). Several parties commended the work of UNEP, GEF and WHO in building capacity for effectiveness evaluation, particularly in the survey of POPs in human milk. The EU welcomed the report on climate change and POPs.
The African Group, with Mexico, underscored the need for technical and financial assistance for establishing and equipping laboratories for the analysis of data in developing countries. The Republic of Korea offered to hold an Asian regional workshop on analytical technology and information exchange.

On the overall considerations of effectiveness evaluation, the EU stated that since the Convention is currently without a compliance mechanism, it lacks a modality to ensure reporting, and concluded that it was therefore premature to establish an effectiveness evaluation committee. Canada, supported by the US, said effectiveness evaluation formed a crucial backbone of the Convention and stated that the lack of a compliance mechanism made effectiveness evaluation all the more important. Colombia suggested a revision of the evaluation framework, incorporating indicators for the implementation of related articles. An informal group, chaired by Bettina Hitzfeld, was then convened to consider the issue and discuss a draft decision on this matter, and on Friday the draft decision was presented to, and agreed by, plenary.

Delegates also considered briefly a draft decision on the GMP for effectiveness evaluation and adopted it without amendment. Final Decisions: In the decision on the GMP for effectiveness evaluation (UNEP/POPS/COP.5/CRP.11), the COP, inter alia:
• requests the Secretariat to continue to support the ongoing process of revising and updating guidance to the GMP, subject to the availability of resources;
• takes note of the study on the impacts of climate change on POPs and of the report on impacts of and policy options for climate change and POPs;
• requests the Secretariat, within available resources, to continue to support training and capacity-building activities to assist countries in implementing the GMP for subsequent effectiveness evaluations;
• encourages parties to engage actively in the implementation of the GMP and the effectiveness evaluation, in particular: monitoring the core media of air and human breast milk or human blood and, if in a position to do so, to initiate monitoring of PFOS in surface water in support of future evaluations; and
• requests the financial mechanism of the Convention and invites other donors to provide sufficient financial support to permit further step-by-step capacity enhancement, including through strategic partnerships, to sustain the new monitoring initiatives, which provided data for the first monitoring report. In the decision on effectiveness evaluation (UNEP/POPS/COP.5/CRP.17), the COP, inter alia:
• takes note of the reports of the meetings of the ad hoc working group on effectiveness evaluation and requests the Secretariat to collect and compile the information outlined in that proposed framework and to use the elements and indicators set forth therein to prepare a report for consideration by COP6;
• invites parties and others to submit comments on the proposed framework before 30 October 2011; and
• emphasizes the need for parties to step up their efforts to ensure the timely and accurate completion of national reports under Article 15 of the Convention.

NON-COMPLIANCE: This item was taken up in plenary on Monday, with the Secretariat introducing the document (UNEP/POPS/COP.5/6). President Blaha noted the need for high-level political agreement on the establishment of a compliance mechanism. Delegates agreed to task Barry Reville (Australia) with facilitating informal consultations on the issue.

Reporting to plenary on his informal facilitation of discussions on compliance on Tuesday, Reville said some countries still harbored concerns about the lack of financial and technical assistance to developing country parties to achieve compliance. The EU, Japan, Switzerland and Canada proposed that the Chair’s text from COP4, although not ideal, could be used as a basis for discussion.

Revisiting this issue on Wednesday, President Blaha called on delegates to identify a compromise or defer consideration of non-compliance to COP6. The EU, with Canada and the Center for International Environmental Law, called for the adoption of the Chair’s text from COP4 “as it stands,” with Canada noting that if this was not possible, the original notes from the work done at COP1 as well as the Chair’s text from COP4 should be used as a basis for discussions at COP6. The African Group supported the use of the Chair’s text from COP4 as a basis for discussion. GRULAC called for a trust fund to be established to assist developing countries and countries with economies in transition in meeting compliance obligations.

China stressed that the deep-seated problems surrounding the establishment of a compliance mechanism need to be addressed, and, with Indonesia, called for adequate financial and technical assistance to be made available for developing countries’ compliance obligations. India underscored the need for negotiations on a compliance mechanism to proceed in tandem with the provision of financial resources, and recommended continuing consideration in the intersessional period. In response, the EU stressed that the Convention has a financial mechanism, that the consultative process on financing chemicals and waste is currently taking place, and that a compliance mechanism would be beneficial to all parties. The US emphasized that the proposed compliance mechanism would be facilitative, not punitive, and would assist parties in complying with treaty obligations.

President Blaha proposed to adopt a decision ensuring negotiations continue at COP6, and delegates agreed to consult regionally on this proposal.

On Thursday, President Blaha introduced a draft decision on resuming negotiations on compliance at COP6, noting that the proposal stresses intersessional work to address major issues. China requested clarification on what the proposed policy dialogue would entail and President Blaha explained the policy dialogue would see the Bureau facilitate bilateral talks between parties; and stressed that if the draft decision is adopted, parties would be committing to adopting a compliance mechanism at COP6.

In plenary on Friday, President Blaha reintroduced the draft decision, and China and Iran noted they could not commit to adopt a compliance mechanism at COP6. Australia proposed compromise language “with a view to resolve the outstanding issues in a way to facilitate adoption of a compliance mechanism” at COP6. China added “possible adoption” and the decision was adopted.
Final Decision: In the decision on procedures and mechanisms on compliance with the Stockholm Convention (UNEP/POPS/COP.5/CRP.12), the COP, inter alia:

- decides to consider further at COP6 the adoption of the procedures and institutional mechanisms on non-compliance and that the draft text contained in the annex to decision SC-4/33, shall be the basis for its further work; and
- invites the Bureau to facilitate a policy dialogue among parties to consult on major issues and a way forward for the adoption of the procedures and institutional mechanisms under Article 17 (non-compliance) by COP6.

ENHANCING COOPERATION AND COORDINATION AMONG THE BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS.

This issue was discussed on Tuesday in plenary and thereafter in a contact group that met from Tuesday to Friday. On Tuesday in plenary, Joint Executive Secretary Willis and the Secretariat introduced documents on enhancing synergies (UNEP/POPS/COP.5/32, UNEP/POPS/COP.5/32/Add.1-6, UNEP/POPS/COP.5/INF/14-17, and INF/46) entailing joint activities, joint managerial functions, joint services, synchronization of budget cycles, joint audits, and review arrangements. A contact group chaired by Osvaldo Álvarez (Chile) met throughout the week and discussed a draft decision prepared by the Secretariat.

On Tuesday, Chair Álvarez proposed the group focus on joint services, joint activities, joint managerial functions, and review of arrangements, as contained in UNEP/POPS/COP.5/CRP.2, and participants considered the list of proposed cross-cutting and joint activities with clarifications provided by the Basel and Rotterdam Convention secretariats. On Wednesday, the contact group revised the workplan of the clearing-house mechanism for the three conventions, debating over a proposal made by a developing country underscoring that joint activities under the three conventions should not detract resources from activities otherwise necessary to implement the three conventions.

On Thursday, participants considered the review arrangements (UNEP/POPS/COP.5/CRP.32/Add.6), focusing on preliminary performance indicators of achievement of joint activities related to regional centres, the clearing-house mechanism, public awareness, outreach and publications, reporting, and overall management. On Thursday afternoon, the contact group met together with the budget group to consider the joint managerial functions and their budgetary implications. On Friday, the contact group further discussed the joint managerial functions and the agenda items for future synergistic meetings, taking into account the role of review.

On Friday, delegates adopted a package of decisions on synergies without amendment. And Switzerland invited parties to convene COP6 and the back-to-back extraordinary meetings of the three conventions in Geneva.

Final Decision: In the final decision on synergies (UNEP/POPS/COP.5/CRP.30, and UNEP/POPS/COP.5/CRP.30/Add.1-4), the COP, inter alia:

- welcomes the establishment of the Executive Secretary of the three conventions, but regrets that the position was not appointed with the urgency requested in decision SC.Ex-1.1;
- authorizes the Executive Secretary to determine the staffing levels, numbers and structure of the secretariats in a flexible manner within a budget ceiling, and requests him to propose, in consultation with the Bureau, the organization of the secretariats by 31 December 2011, to be implemented by 31 December 2012;
- decides that the COPs of the three conventions should be held in a coordinated manner and requests the Executive Secretary to schedule such meetings in a way that facilitates such coordination;
- approves the proposed cross-cutting and joint activities for inclusion in the programmes of work of the three secretariats for 2012-13 (annexed to the decision) and requests the Secretariat to also pursue further cooperation and coordination in activities in the programme of work but not listed in the annex that can be undertaken in a cost neutral manner; and
- decides subject to the submission of the reports on the review and taking into account comments made by parties on the matter, to convene in 2013, with and at the same venue and back-to-back to the COP of one of the conventions, an extraordinary joint meeting of the COPs, with the main focus on: draft decisions on the review arrangements; the proposal for the organization for the secretariats; draft proposals for joint activities for 2014-2015; budget related to joint activities and possible necessary amendments to the budget of the three conventions for 2012-13, and; the outcome of the UNEP Executive Director’s Consultative Process on Financing for Chemicals and Wastes.

The following are included as annexes to the decision: detailed ToRs for the preparation of the report by the secretariats of the three conventions; ToRs for the preparation of the report by the evaluation units of UNEP and FAO; modification of the organization of the joint services of the three conventions; and a joint workplan for the development of a clearing-house mechanism for the three conventions for 2012-13. An annex to the decision lists proposed cross-cutting and joint activities, including partnerships with other multilateral environmental agreements, supporting the work and coordination between the scientific bodies of the conventions, and joint outreach and public awareness, including Safe Planet activities.

PROGRAMME OF WORK AND ADOPTION OF THE BUDGET

Plenary considered the programme of work and adoption of the budget on Monday. A contact group, chaired by Kerstin Stendahl (Finland), met from Tuesday to Friday to further discuss the budget and programme of work, the financial rules, and draft decisions with budgetary implications. On Monday, the Secretariat introduced the activities undertaken by the Secretariat in 2009-2010 (UNEP/POPS/COP.5/33); the financial and staffing situation (UNEP/POPS/COP.5/34 and UNEP/POPS/COP.5/INF/33); and three budget scenarios to be considered for the biennium 2012-2013 (UNEP/POPS/COP.5/35 and Add.1), namely the Executive Secretary’s assessment of the required rate of growth (9.5%), zero nominal growth, and 10% nominal growth. Noting the global financial situation, the Secretariat emphasized that the zero nominal growth budget scenario could lead to reduction of activities.

Switzerland stressed that activities addressing new POPs should be prioritized and expressed disappointment with the current lack of financial support from other donors. He proposed that 50% of the Swiss host contribution be reallocated into the Convention’s voluntary trust fund, which can be targeted towards
participants’ travel support and joint activities in the context of the synergies process. Japan supported the zero nominal growth scenario. The EU questioned the dependence of the PEN and Global Alliance for alternatives to DDT on Stockholm Convention accounts, and emphasized the need for greater strategic direction of the synergies process to improve efficiency.

Argentina supported budgeting for activities on new chemicals, efficiency and regional centres, and emphasized the need for new and adequate financial resources to enable developing countries to meet new commitments. Mexico noted any budgetary increase should support activities on effective implementation, efficiency and NIPs, and underscored that synergies should involve zero budgetary growth. Chile, with the EU and Indonesia, stressed that discussions on synergies among the three conventions and the budget should not be held in parallel. President Blaha noted this request and clarified that the plenary will forward all agreed decisions with budgetary implications to the budget group.

On Tuesday, the contact group discussed, inter alia, the budget scenarios and the Swiss request to reallocate part of its contribution from the core budget to the voluntary fund. One party expressed concern that if the zero nominal growth scenario is implemented, valuable staff members from the Secretariat would be lost. On Wednesday, the group considered the text of the budget decision, and initiated discussion on the budget’s baseline. On Thursday, the group discussed draft decisions with budgetary implications, deliberating on which budget scenario to adopt, and considered the resultant implications of the Swiss proposal to reallocate its contributions. They further debated the most appropriate areas to make budget cuts if necessary, with one party stating a preference for cuts within the new POPs activities.

On Friday in plenary, delegates discussed the draft decision on financial rules. Iran suggested deletion of a reference to a deadline for the payment of assessed contributions, contained in the rule on contributions. The EU noted that this was language taken from the original financial rules. Canada called for caution when making additions or proposing deletions to the text contained in the financial rules, as the amendments were the product of a delicate compromise. After bilateral talks with Finland, Iran withdrew his request.

Chair Stendahl introduced the work of the contact group, announcing the total core budget for the biennium 2012-2013 as US$11,853,339, and the budget for activities to be funded through the Special Voluntary Fund as US$8,947,340. She highlighted that as the host country contribution was to be reallocated, with 75% going into the General Trust Fund and 25% going into the Voluntary Fund for the biennium 2012-2013, parties’ assessed contributions would increase by an average of 6.2%. She also informed delegates of the amendments made to the financial rules, in a bid to align the Convention’s financial rules to those of other processes.

Delegates adopted both decisions, without amendment.

**Final Decisions:** Two decisions were adopted under this item, on financing and budget for the biennium 2012-2013 (UNEP/POPS/COP.5/CRP.36 and Add.1), and on amendments to the financial rules for the COP, its subsidiary bodies and the Secretariat (UNEP/POPS/COP.5/CRP.37).

In the decision on financing and budget for the biennium 2012-2013, the COP, inter alia:

- approves the programme of activities and operational budget for the biennium 2012-2013 of US$5,787,568 for 2012 and US$6,066,761 for 2013;
- notes Switzerland’s intention to reallocate its contribution between the Special Trust Fund and the General Trust Fund;
- invites Switzerland to include in its contribution to the Special Trust Fund support for, among other things, the participation of developing country parties, in particular least developed countries and small island developing states, and parties with economies in transition in meetings of the Convention and for joint activities among the Basel, Rotterdam and Stockholm Conventions;
- notes that for the period 2012-2013, 75% of the annual contribution of CHF2 million will be allocated to the General Trust Fund and will include Switzerland’s assessed contribution, while 25% will be allocated to the Special Trust Fund;
- notes that for the period 2014-2015 and beyond, CHF1 million will be allocated annually as a contribution to the General Trust Fund, including the Swiss assessed contribution, and CHF1 million to the Special Trust Fund;
- decides on an exceptional basis not to approve a staffing table but instead to note the indicative staffing table for the Secretariat for the biennium 2012-2013 that has been used for costing purposes to set the overall budget, and authorizes the Executive Secretary to determine the staffing levels, numbers and structure of the Secretariat in a flexible manner;
- decides, with regard to contributions due from 1 January 2010 onwards that any party, except small island developing states or least developed countries, whose contributions are in arrears for two or more years shall not be eligible to become a member of the Bureau of the COP or a member of its subsidiary bodies; and
- requests the Secretariat to enhance efficiency in the use of financial and human resources in accordance with the priorities set by the COP and to report on the outcome of the efforts in that regard.

The annex (UNEP/POPS/COP.5/CRP.36/Add.1) contains, among other things, the programme budget for the 2012-2013 biennium, the programme of work for 2012-2013 funded by the General Trust Fund, the indicative scale of assessment for the General Trust Fund and will include Switzerland’s contribution of CHF2 million will be allocated to the General Trust Fund, including the Swiss assessed contribution, and CHF1 million to the Special Trust Fund.

The amendments to the financial rules for the COP, its subsidiary bodies and the Convention Secretariat (UNEP/POPS/COP.5/CRP.37) contain the decision and two annexes. In the decision, the COP decides to amend the financial rules for its operation, its subsidiary bodies and the Convention Secretariat. The financial rules are contained in an annex to the decision and include rules on scope, financial period, budget, funds, contributions, accounts and audit, administrative support costs, and amendments. The procedure for the allocation of funding from the voluntary Special Trust Fund for facilitating the participation of parties in meetings of the COP is also contained in the annex.

**OTHER MATTERS**

On Wednesday, the Secretariat introduced notes on official communications with parties and observers (UNEP/POPS/COP.5/28) and NGOs seeking accreditation to COP
A BRIEF ANALYSIS OF COP5

What makes an effective Convention? As the Stockholm Convention on Persistent Organic Pollutants (POPs) celebrated the 10th anniversary of its adoption, this question was on the minds of many participants at the fifth meeting of the Conference of the Parties (COP5), who acknowledged the achievements of the Convention but also struggled with ongoing challenges and new responsibilities arising from implementation.

In the last decade, the Convention has grown rapidly: in 2009 its scope was expanded to include 21 chemicals, and a wide array of programmes and institutions have been established to facilitate parties’ implementation of obligations. COP5 had before it a broad reaching agenda, which included proposals to establish new subsidiary committees on effectiveness evaluation and finance, reviews of ongoing policies, such as continued use of DDT for disease vector control, and a recommendation to list the pesticide endosulfan. Effectiveness was an implicit concern as delegates tackled these varied agenda items, with some raising concerns that the Convention may be growing too big and too fast. There are explicit provisions for effectiveness evaluation in the Convention’s text, and these offer one approach to understanding and assessing the Convention’s success, but the question of the Convention’s effectiveness warrants a more comprehensive examination. This brief analysis of COP5 considers the Convention’s effectiveness from three perspectives: Is Stockholm meeting its objectives? Are its institutions effective? Does the Convention have discernable broader impacts?

PROTECTING HUMAN HEALTH AND THE ENVIRONMENT

The objective of the Stockholm Convention is to protect human health and the environment from POPs, and Article 16 (effectiveness evaluation) of the Convention takes a three pronged-approach to assessing progress.

The first establishes the Global Monitoring Plan (GMP), aiming to obtain comparable monitoring data of POPs in core environmental media—air and human breast milk—across geographical regions. The first monitoring reports were released in 2009, establishing baselines, and offering a means of assessing progress on the ground. Parties at COP5 requested the financial mechanism to sustain this initiative. This approach ensures that over the long run changes in POPs concentrations in humans and in the environment can be tracked; providing one means of measuring the actual impact of the Convention on protecting human health and the environment from exposure to POPs.

Article 16 also calls for assessing effectiveness based on parties’ national reports on the implementation of measures aimed at reducing or eliminating POPs releases. In order to track progress using information contained in national reports, COP4 established an ad hoc working group on effectiveness evaluation. The working group prepared a potential framework, including indicators, for effectiveness evaluation.

Collecting usable and applicable information for national reports is crucial, and some COP5 delegates deplored the fact that the ad hoc working group was not reconvened to aid in refining indicators and reviewing submitted data through an open and transparent process. Others disagreed, citing the cost implications of convening yet another committee and highlighting the futility of applying the framework when only...
71 of 172 parties submitted national reports in the 2006-2009 reporting cycle. Instead, COP5 tasked the Secretariat with collecting this information for consideration at COP6, along with soliciting additional input into the proposed framework.

In stressing the poor compliance with reporting obligations, delegates reinforced the link to what is envisioned under the Convention as the third prong of effectiveness evaluation: non-compliance procedures. Article 17 of the Convention calls on parties to develop and approve non-compliance procedures and institutional mechanisms as soon as practicable. COP1 convened an open-ended ad hoc working group on the issue, which also met following COP2. Since COP3, parties have struggled to achieve progress on this thorny issue. At COP5, an informal facilitative process was entrusted with trying to bridge the high-level policy differences on the question. While several parties indicated their willingness to adopt the Chair’s text from COP4 which, in their words, “left everyone equally unhappy,” this was unacceptable to China and Iran in particular, who argued that financial and technical assistance for compliance is currently insufficient. Even decision text committing parties to agree on a compliance mechanism at COP6 was unattainable. This impasse prompted some to wonder how effectiveness evaluation might ever be fully implemented without a compliance mechanism and to reflect upon an alternate means of assessing effectiveness, focusing instead on its institutional operations.

INSTITUTIONAL EFFECTIVENESS

This sticking point on compliance contrasts with the performance of several of the institutions established under the Convention. For example, since its entry into force, the Convention’s expert group on best available technologies (BAT) and best environmental practices (BEP) to reduce or eliminate the unintentional production of POPs has developed cutting edge guidelines on BAT/BEP to aid implementation at the national level. Further, a recent assessment indicates that implementation of BAT/BEP often provides climate co-benefits, notably in reducing greenhouse gas emissions through increased efficiency.

Another institutional arrangement that warrants scrutiny of its effectiveness is the financial mechanism. The Convention provides for regular review of the mechanism, with the next slated for completion by COP6. Parties have struggled to assess and meet the needs of developing countries in order to implement the Convention. Some parties still call for replacing or supplementing the Global Environment Facility (GEF), the Convention’s interim mechanism, with a stand-alone fund akin to the Montreal Protocol’s Multilateral Fund, which would allow for direct COP oversight. Yet, as the GEF is reforming many of its POPs practices and as parties are engaging in ongoing consultations on financing chemicals and wastes, it is likely that COP6 will provide a forum for a more in-depth review of the Convention’s financial mechanism.

In assessing effectiveness from an institutional perspective, many point to the success of the POPs Review Committee (POPRC). The POPRC was established to provide a scientific review of whether a substance nominated for listing under the Convention is likely, as a result of its long-range environmental transport, to lead to significant adverse human health and/or environmental effects, and warrants a global ban. This 31-member committee is also entrusted with reviewing the socio-economic considerations for listing under the Convention, a point at which experts can also assess the effectiveness and affordability of available alternatives and address the need for exemptions for continued use. The first opportunity to assess the POPRC’s effectiveness arose at COP4 in 2009, when parties followed the Committee’s recommendation to list nine new chemicals. However, the POPRC’s work was called into question at COP5 when delegates from India raised several procedural and substantive concerns on the POPRC’s recommendation to list endosulfan under the Convention. In particular, some participants called into question the POPRC’s decision to resort to voting when consensus could not be reached during its deliberations.

The critical tenor of interventions at the outset of COP5 prompted speculation that the COP may need to resort to a vote in order for endosulfan to be listed. This scenario was opposed by a wide range of parties who, early in the week, reiterated their commitment to consensus-based decision-making, raising fears in some circles of a stalemate on the issue. To the surprise of many seasoned delegates, by the close of COP5, parties did agree, by consensus, to list endosulfan with limited exemptions. As this high-stakes issue was discussed, the work of the POPRC was supported and defended by many stakeholders, but the POPRC’s work alone cannot explain this outcome. As veterans of the POPs process discussed this outcome, many highlighted the carefully orchestrated diplomacy that brought it about. They also underscored the significance of the late-night compromise to explicitly recognize, in the guidance to the financial mechanism, that financial and technical support is required to facilitate the replacement of endosulfan in developing countries.

STOCKHOLM—AN EFFECTIVE AWARENESS RAISER

We can also gain a greater understanding of the Stockholm Convention on its tenth anniversary by considering its impact on broader developments relating to global chemicals management. NGOs in particular highlighted another key to the decision to list endosulfan: the increased global awareness of chemicals challenges. The campaign waged by civil society activists to ban endosulfan underscored the far-reaching impact of a global forum on chemicals. Some NGOs argued that discussions in the POPRC helped mobilize grassroots efforts, particularly in India, to change the tide on the issue. A few even argued that the proposed global ban of this pesticide facilitated domestic pressure on governments to consider domestic phase-outs, noting that since the POPRC’s initial review of endosulfan, close to 20 countries have banned the substance. Some concerned parties countered this interpretation, underscoring that their decision to ban endosulfan was the result of careful national assessments, not the Convention.

There are also indications that the Stockholm Convention is shaping actions in other forums. For example, the Convention lists DDT for restriction with acceptable uses for disease vector control in accordance with WHO recommendations and guidelines. As COP5 reviewed the continued authorization for DDT use, WHO presented results of a recently completed assessment of the risks arising from DDT use in indoor residual spraying, and highlighted its revised guidelines on the safe use of DDT. It is, of course, challenging to ascribe clear causal links in this context, but some participants posited that WHO may not have undertaken such a study were it not for the Convention’s periodic review of the continued exemptions for DDT use in combating malaria.
More broadly, public outreach activities are an important component of the Convention’s work, and through the UN Safe Planet Campaign, the chemicals and waste-related conventions have cooperated in raising awareness among a diverse range of stakeholders. This effort reaches beyond the realm of international chemicals treaties to other environmental regimes; for example, the Safe Planet Campaign held events in parallel to the Cancun Climate Conference in 2010.

**FUTURE EFFECTIVENESS**

The Safe Planet Campaign is just one example of the broader efforts at synergies among the Stockholm, Rotterdam and Basel Conventions. This nascent effort at synergies, which took shape at COP5 as the new Joint Executive Secretary, Jim Willis, took office, will be closely scrutinized in terms of the effectiveness it brings to these Conventions. The promise of synergies could facilitate the continued growth of the Convention as it makes it more efficient and allows it to streamline and reduce the administrative burden and ensure more resources can be directed to on-the-ground implementation.

As delegates left the conference rooms in Geneva, many were satisfied with COP5’s achievements and optimistic about the Convention’s effectiveness in facilitating global cooperation towards achieving a POPs-free world. In their closing statement, Pesticide Action Network reminded delegates of those who have suffered most directly from endosulfan, lauding the decision as “brave and historic.” Several delegates suggested the consensus outcome was indicative of the spirit of goodwill and trust that reigned throughout the week, and they expressed confidence that developing country concerns regarding the financial and technical implications of listing new POPs may be on track for resolution.

Indeed, financial resources are an aspect of effectiveness likely to feature in COP6 discussions. The updated needs assessment, coupled with the third review of the financial mechanism, may draw greater attention to the extent to which financial resources are being leveraged to help developing country parties implement their obligations—a matter closely tied to the ongoing compliance discussions. The question will be, as the Convention nears the tenth anniversary of entry into force in 2014, whether the necessary breakthrough on compliance will enable a full assessment of the Convention’s success in protecting human health and the environment from POPs.

**UPCOMING MEETINGS**

**GEF Central America Expanded Constituency Workshop (ECW):** The ECW, will bring together GEF focal points from Central American countries, focal points from the main Conventions (Biodiversity, Desertification, Climate Change and POPs), representatives from civil society and representatives from GEF agencies. The purpose of the meeting is to keep these stakeholders abreast of GEF strategies, policies and procedures and to encourage coordination. **dates:** 2-4 May 2011 **location:** Panama City, Panama **contact:** GEF Secretariat **phone:** +1-202-473-0508 **fax:** +1-202-522-3240/3245 **email:** secretariat@thegef.org **www:** http://www.thegef.org/

**CSD 19:** This policy-year session will negotiate policy options related to the thematic cluster for the CSD 18-19 cycle: transport, chemicals, waste management, mining and the Ten-Year Framework of Programmes on Sustainable Consumption and Production Patterns. **dates:** 2-13 May 2011 **location:** UN Headquarters, New York **contact:** UN Division for Sustainable Development **phone:** +1-212-963-8102 **fax:** +1-212-963-4260 **email:** dsd@un.org **www:** http://www.un.org/esa/csd/csd19/index-en.php

**Fourth Meeting of the Consultative Process on Financing Options for Chemicals and Wastes:** This meeting will continue the consideration of the need for mainstreaming of sound management of chemicals and hazardous wastes. It will also continue consideration of: industry involvement, including public-private partnerships and the use of economic instruments at the national and international levels; a new trust fund similar to the Multilateral Fund; and establishing safe chemicals and wastes management as a new focal area, expanding the existing persistent organic pollutants focal area under the Global Environment Facility (GEF) or establishing a new trust fund under the GEF. **dates:** 4-5 May 2011 **location:** New York, USA **phone:** +254-20-7624011 **fax:** +254-20-7624300 **email:** delc@unep.org **www:** http://www.unep.org/dec/Chemical_Financing/index.asp

**E-environment Day at World Summit on Information Society (WSIS) Forum 2011:** This Day is co-organized by the International Telecommunication Union, the Basel Convention Secretariat, UNEP and the World Meteorological Organization. The Day will focus on the role of information and communications technologies for the environment, one of the action lines defined in the WSIS Geneva Plan of Action. The event will include workshops, interactive discussions, publication releases and networking sessions on issues such as energy efficiency, climate monitoring and adaptation, e-waste and the green economy transition. The event will be open to all stakeholders, including governments, the private sector, civil society, and academia, as well as international and regional institutions. **date:** 18 May 2011 **location:** Geneva, Switzerland **contact:** International Telecommunication Union **phone:** +41-22-730-5111 **fax:** +41-22-730-6453 **email:** climate@itu.int **www:** http://www.wsis.org/forum/environment

**40th GEF Council Meeting:** The GEF Council functions as the main governing body of the GEF. Its 32 members meet twice a year, with each representing a group of countries (‘constituency’) including both donors and recipients of GEF funding. GEF funding is channeled to several focal areas, namely: biological diversity, climate change, international waters, land degradation, ozone layer depletion and persistent organic pollutants. **dates:** 23-26 May 2011 **location:** Washington, DC **contact:** GEF Secretariat **phone:** +1-202-473-0508 **fax:** +1-202-522-3240/3245 **email:** secretariat@thegef.org **www:** http://www.thegef.org/gef/meetingdocs/97/403

**RECETOX POPs Workshop:** The Research Centre for the Toxic Compounds in the Environment in the Czech Republic (RECETOX) will hold a workshop on, among others, the identification of new POPs, evaluation of their properties and fate, mechanisms of toxicity, effects of environmental mixtures and associated risks, and data management, databases, models, and expert systems for interpretation and visualization of data. **dates:** 22-24 May 2011 **location:** Brno, Czech Republic **contact:** Petra Přibylová **phone:** +420-549-49-5338 **fax:** +420-549-49-2840 **email:** holoubek@recetox.muni.cz **www:** http://www.recetox.muni.cz/index-en.php?pg=news&aid=117

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**Earth Negotiations Bulletin**

Vol. 15 No. 182  Page 17

Monday, 2 May 2011
Third Latin America and Caribbean Regional Meeting on SAICM: The objective of this regional meeting is to prepare for the first meeting of the Open-ended Working Group of the International Conference on Chemicals Management. dates: 2-3 June 2011 location: Panama City, Panama contact: SAICM Secretariat phone: +507-22-917-8532 fax: +510-32-797-3460 email: saicm@unep.org www: http://www.saicm.org/

Third Steering Committee Meeting on the Cost of Inaction Initiative on Sound Management of Chemicals and the Fourth Steering Committee Meeting on the Global Chemicals Outlook: The third Steering Committee meeting on the Cost of Inaction Initiative will meet from 15-16 June to review the first draft of the Baseline Assessment Report. The fourth Steering Committee Meeting on the Global Chemicals Outlook will meet from 16-17 June and is expected to review the work conducted to date, examine the potential contribution of the costs of inaction and establish the required arrangements for the drafting of the second pillar. dates: 15-17 June 2011 location: Geneva, Switzerland contact: Ms. Khanam Jauhan phone: +41-22-917-8273 email: khanam.jauhan@unep.org www: http://www.chem.unep.ch/unespaicm/mainstreaming/default.htm

Fifth Meeting of the Conference of the Parties to the Rotterdam Convention (PIC COP5): PIC COP5 will consider the recommendation of the Chemical Review Committee to list endosulfan and azinphos methyl in Annex III to the Convention. dates: 20-24 June 2011 location: Geneva, Switzerland contact: Rotterdam Convention Secretariat phone: +41-22-917-8296 fax: +41-22-917-8082 email: pic@pic.int www: http://www.pic.int/

Workshop on Education and Training for Measurement and Analysis of POPs: This workshop is tentatively scheduled for July 2011 in Seoul, Republic of Korea. dates: 4-8 July 2011 location: Seoul, Republic of Korea contact: Kyunghhee Choi phone: +82-32-560-8321 fax: +82-32-567-7097 email: neirchoi@korea.kr

Regional Workshop on Analytical Technology and Information Warehouse of POPs in Asian Countries: This workshop is tentatively scheduled for July in Seoul, Republic of Korea. dates: TBA location: Seoul, Republic of Korea contact: Kyunghhee Choi phone: +82-32-560-8321 fax: +82-32-567-7097 email: neirchoi@korea.kr

Inter-sessional Ad Hoc Open-Ended Working Group of the International Conference on Chemicals Management (ICCM OEWG): This meeting will act as a preparatory meeting for the Third International Conference on Chemicals Management. dates: 29 August - 2 September 2011 location: Belgrade, Serbia contact: SAICM Secretariat phone: +41-22-917-8532 fax: +41-22-797-3460 email: saicm@unep.org www: http://www.saicm.org


POPRC-7: The seventh meeting of the POPs Review Committee will consider additional chemicals for listing under the Convention and respond to tasks assigned by COP5. This will be a paperless meeting. dates: 10-14 October 2011 location: Geneva, Switzerland contact: Stockholm Convention Secretariat phone: +41-22-917-8729 fax: +41-22-917-8098 email: ssc@unep.ch www: http://www.pops.int

Tenth meeting of the Conference of the Parties to the Basel Convention: This meeting will convene under the theme is “Prevention, minimization and recovery of wastes.” dates: 17-21 October 2011 location: Cartagena, Colombia contact: Basel Convention Secretariat phone: +41-22-917-8218 fax: +41-22-797-3454 email: sbc@unep.org www: http://www.basel.int/meetings/meetings.html

Third Session of the INC to Prepare a Global Legally Binding Instrument on Mercury: This meeting is scheduled to be the third of five Intergovernmental Negotiating Committee (INC) meetings to negotiate a legally binding instrument on mercury. dates: 30 October - 4 November 2011 location: Ouagadougou, Burkina Faso contact: UNEP Mercury Programme phone: +41-22-917-8183 fax: +41-22-797-3460 email: mercury@unep.org www: http://hqweb.unep.org/hazardoussubstances/MercuryNot/MercuryNegotiations/tabid/3320/language/en-US/Default.aspx

Third Session of the International Conference on Chemicals Management (ICCM3): This meeting is expected to convene in the second quarter of 2012. date: TBA location: TBA contact: SAICM Secretariat phone: +41-22-917-8532 fax: +41-22-797-3460 email: saicm@chemicals.unep.ch www: http://www.saicm.org


GLOSSARY

<table>
<thead>
<tr>
<th>Term</th>
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<tr>
<td>BAT</td>
<td>Best available techniques</td>
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<td>BEP</td>
<td>Best environmental practices</td>
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<td>BDEs</td>
<td>Bromodiphenyl ethers</td>
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<td>CIEN</td>
<td>Chemical Information Exchange Network</td>
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<td>COP</td>
<td>Conference of the Parties</td>
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<td>GEF</td>
<td>Global Environment Facility</td>
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<td>GMP</td>
<td>Global Monitoring Plan</td>
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<td>GRULAC</td>
<td>Latin American and Caribbean Group</td>
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<td>IPEN</td>
<td>International POPs Elimination Network</td>
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<td>MoU</td>
<td>Memorandum of Understanding</td>
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<td>NIP</td>
<td>National Implementation Plan</td>
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<td>PCBs</td>
<td>Polychlorinated biphenyls</td>
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<td>PEN</td>
<td>PCBs Elimination Network</td>
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<td>PFOS</td>
<td>Perfluorooctane sulfonate</td>
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<td>PFOSF</td>
<td>Perfluorooctane sulfonyl fluoride</td>
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<td>POPs</td>
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<td>Persistent Organic Pollutants Review Committee</td>
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